

Excitonic and Polaronic Properties of 2D Hybrid Organic–Inorganic Perovskites

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S Supporting Information

ABSTRACT: We theoretically characterize the unusual white-light emission properties of two-dimensional (2D) hybrid organicinorganic perovskites with an APbX₄ structure (where A is a bidentate organic cation and X = Cl, Br). In addition to band structure calculations including corrections due to spin-orbit couplings and electron-hole interactions, a computationally intensive molecular cluster approach is exploited to describe the excitonic and polaronic properties of these 2D perovskites at the atomistic level. Upon adding or removing an electron from the neutral systems, we find that strongly localized small polarons form in the 2D clusters. The polaron charge density is distributed over just ~1.5 lattice sites, which is consistent with the calculated large polaron binding energies, on the order of ~0.4-1.2 eV.



ver the past few years, three-dimensional (3D) hybrid organic-inorganic perovskites with general formula APbX₃ (where A is a monovalent cation and $X = Cl_{1}$ Br, I) have demonstrated outstanding optoelectronic properties that have led to thin-film solar cell and light-emitting diode applications.¹⁻³ Hybrid perovskites with low dimensionality also display remarkable electronic and optical characteristics.⁴ The reduction in dimensionality, such as in the case of layered two-dimensional (2D) perovskites APbX₄, leads to strong quantum/dielectric confinement effects responsible for significant changes in the optical properties.⁵⁻⁹ A particularly interesting feature is the broad-band, white-light photoluminescence (PL) at room temperature shown by a series of 2D perovskites such as (API)PbBr₄ (API = N-(3-aminopropyl)imidazole),¹⁰ (EDBE)PbX₄ (EDBE = 2,2-(ethylenedioxy)bis-(ethylammonium), X = Cl, Br,¹¹ (C₆H₁₁NH₃)₂PbBr₄,¹² and $(N-MEDA)[PbBr_{4-x}Cl_x]$ (N-MEDA = N1-methylethane-1,2diammonium).¹³ While earlier works attributed the origin of such broad-band PL either to deep-trap surface states^{14,15} or to energy transfer from the inorganic to the organic layers,¹⁰ the formation of self-trapped excitons is now generally accepted as the main mechanism underlying the large Stokes shift and emission broadening.^{11–13,16,17} Similarly, it has been proposed that self-trapping phenomena could affect the homogeneous PL broadening of 3D MAPbI₃¹⁸ where the existence of small

polarons triggered by the collective reorientation of $\mathrm{MA^+}$ cations was theoretically predicted. ¹⁹

Recently, a first-principles study of exciton self-trapping in 2D hybrid perovskites has shown that local perturbations of specific crystal lattice sites induce the localization of selftrapped species in the perovskite inorganic framework and result in the formation of small polarons.¹⁷ However, the standard computational methods used in that work, relying on the local perturbation of lattice parameters within a supercell, do not allow the assessment of the actual charge redistributions induced by geometric lattice relaxations,²⁰⁻²³ in particular, those related to self-trapped excitons or small polarons. Here, we adopt a computationally intensive approach based on molecular clusters in order to provide a quantitative description of the excitonic and polaronic characteristics of the 2D whitelight-emitting hybrid perovskites (EDBE)PbCl₄ and (EDBE)-PbBr₄. We first show that the electronic bands and optical transitions of these 2D perovskites are well reproduced via explicit consideration of the electron-hole interactions, which result in exciton wave functions that are highly localized within the inorganic framework. Then, we provide a direct comparison

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Figure 1. Crystallographic, optical, and excitonic characteristics of the two-dimensional (EDBE)PbCl₄ and (EDBE)PbCl₄ hybrid perovskites: (a,b) crystal structures; (c,d) experimental absorption and PL spectra at room temperature (upper panel) and calculated absorption spectra with and without consideration of hole–electron (h-e) interactions (bottom panel); and (e,f) illustration of the exciton wave functions corresponding to the excitonic peaks.

between the small-polaron species predicted via local perturbations of the perovskite crystal lattice and those obtained from the rigorous charge density distributions evaluated in the molecular clusters. The molecular cluster approach underlines the charge—vibration coupling effects that lead to the formation of molecular polarons. Our results afford a robust description of exciton self-trapping in 2D perovskites and point to the applicability of our methodology to the study of perovskites with different compositions and varying dimensionality.

From a structural point of view, the inorganic framework of the 2D layered perovskites can be derived by slicing the global perovskite structure along specific crystallographic planes. As shown in Figure 1a,b, in the case of (EDBE)PbCl₄, the flat inorganic layers are formed by cuts along the (100) direction, while for $(EDBE)PbBr_4$, the rippled inorganic layers are generated along the (110) direction. In contrast to the small organic cations in 3D perovskites (e.g., CH₃NH₃⁺ in MAPbI₃), which can freely rotate, the EDBE cations are relatively rigid and thus help in locking the crystal structure in these preferred orientations. Figure 1c,d shows the experimental absorption and PL spectra of (EDBE)PbX₄ (X = Cl or Br) thin films at room temperature. These samples were synthesized by spincoating the precursors (EDBE)X₂ and PbX₂ (the detailed synthetic methods can be found in refs 11 and 17). $(EDBE)PbCl_4$ and $(EDBE)PbBr_4$ both show a sharp excitonic absorption peak, at 3.72 and 3.32 eV, respectively, which is related to strong quantum confinement effects. Moreover, the better isolation of the inorganic quantum well layers due to the significant length of the EDBE cations, together with the much

lower dielectric constant within the organic cation layers, leads to substantial exciton binding energies, 360 meV for (EDBE)-PbCl₄ and 330 meV for (EDBE)PbBr₄, as deduced recently from low-temperature absorption spectra.¹⁷ These values are much larger than those in 3D perovskites (e.g., ca. 29 meV in CH₃NH₃PbI₃) and 2D perovskites with shorter organic cations (e.g., in the range of 60–181 meV in $(CH_3NH_3)_n(C_9)_nPb_nI_{2n+1}$ (n = 2-4)). The absorption above 4.13 eV can be attributed to the onset of band-edge and higher-energy interband transitions.²⁴ Using an ultraviolet excitation wavelength tuned at the exciton peaks, both (EDBE)PbCl₄ and (EDBE)PbBr₄ show broad-band white-light emission spanning the entire visible range and large Stokes shifts ($\sim 1 \text{ eV}$); this is in contrast to the narrow emission spectra and small Stokes shifts typical of excitonic states in 2D hybrid perovskites like (PEA)₂PbX₄²⁵ or $(ODA)_2 PbX_4^{26}$

After optimizing the crystal structures of the 2D hybrid perovskites based on their experimental lattice parameters [(EDBE)PbCl₄: a = 7.73 Å, b = 7.54 Å, and c = 13.29 Å; (EDBE)PbBr₄: a = 6.09 Å, b = 8.89 Å, and c = 28.78 Å], we calculated their band structures (see Figures S1 and S2 in the Supporting Information) and compared their bandgaps using different methodologies (see Figure S3). The general gradient approximation (GGA)/Perdew–Burke–Ernzerhof (PBE) functional without consideration of spin–orbit coupling (SOC), PBE/woSOC, has been reported to reproduce well the bandgaps of 3D perovskites (e.g., MAPbI₃ and MASnI₃), as a result of error cancellations,²⁷ while PBE with SOC retains the band curvatures but changes the conduction band degeneracies and largely reduces the bandgaps. In our case, even when using



Figure 2. Energy levels marking the VBM and CBM calculated at the HSE/SOC level and corresponding charge density distributions for $2 \times 2 \times 1$ (a) (EDBE)PbCl₄ and (b) (EDBE)PbBr₄ supercells without and with perturbations: (i) shortened Pb–Pb distances; (ii) shortened Pb–X distances; and (iii) shortened X–X distances.

PBE/woSOC, the bandgaps of the 2D hybrid perovskites remain underestimated. Therefore, we have used both the Heyd–Scuseria–Ernzerhof $(HSE)^{28,29}$ hybrid functional implemented in VASP^{30,31} and the PBE functional together with the G_0W_0 method implemented in Quantum Espresso $(QE)^{32}/$ YAMBO³³ to correct the bandgaps based on the calculated results with account of SOC effects. We find that (EDBE)PbCl₄ has a direct bandgap [HSE+SOC: 3.27 eV; PBE+G₀W₀: 3.88 eV] at the Γ point, while (EDBE)PbBr₄ has a direct bandgap [HSE+SOC: 2.74 eV; PBE+G₀W₀: 3.45 eV] at the A point of their respective Brillouin zones. The projected densities of states underline that the dominant contributions to the conduction and valence bands around the Fermi level are provided by the inorganic framework (i.e., Pb-6s, 6p, Cl-3p, or Br-4p); the organic EDBE ligands have no direct contributions to the electronic states in this region, which is similar to the case of the 3D Pb-based hybrid perovskites.

Figure 1c,d also compares the calculated optical absorption spectra with the experimental data obtained from the 2D hybrid perovskite films. Starting from the electronic wave functions of the ground state derived at the PBE/SOC level, the optically allowed interband transitions were calculated by using the random phase approximation (RPA) method and by solving the Bethe-Salpeter equation (BSE) (see details in the Computational Methods). With respect to the RPA method, which does not include explicit electron-hole interactions, the BSE method reproduces well the excitonic features based on both quasi-electron and quasi-hole states; the resulting absorption spectra agree well with the experimental data. Note that scissor-operator corrections (0.15 eV for (EDBE)- $PbCl_4$ and 0.12 eV for (EDBE)PbBr₄) were applied to correct for the slightly underestimated energy gaps, which affords a better comparison with experiment. The calculated spectra indicate that the high-energy absorption continuum is due to interband electronic transitions around the Γ point, with the

main contributions coming from $Pb^{2+}(6s)Cl^{-}(3p) \rightarrow Pb^{2+}(6p)$ and $Pb^{2+}(6s)Br^{-}(4p) \rightarrow Pb^{2+}(6p)$. Although the organic EDBE cations do not directly contribute to these interband electronic transitions, they indirectly influence the electronic structure of the layered perovskites through crystal structure effects, such as tilting and deformations of the inorganic framework. Moreover, quantum confinement within the 2D perovskite layers increases the strength of the electron—hole interactions and localizes the excitons within the 2D perovskite planes (see Figure 1e,f); the large exciton binding energies measured experimentally are thus related to the strong localization calculated for the exciton wave functions.

When an electron is added to ionic and highly polar crystals such as hybrid perovskites,^{20,34} the strong Coulomb interactions between the excess charge and the lattice ions enhances the electron-vibration couplings. In this case, electrons can self-trap over several lattice sites, forming so-called large polarons (also known as Fröhlich polarons).^{35,36} For example, in 3D hybrid perovskites such as CH₃NH₃PbI₃, the motions of the organic cations, particularly their reorientations and the associated dipole fluctuations, can stabilize and localize charge carriers with the polarization cloud spanning many unit cells, thus forming large polarons.^{20,37} Conversely, when excess electrons are spatially confined to a volume of approximately one unit cell or less, they correspond to so-called small polarons. In this situation, the short-range deformation potential due to the changes in local bonding related to the excess charge plays a dominant role. Recently, Neukirch et al. demonstrated that localized charged states strongly couple to local structural lattice distortions in MAPbI₃, with the CH₃NH₃⁺ configurations helping create small polaron states;¹⁵ the slow photocurrent degradation and fast self-healing in perovskite solar cell devices were further attributed to the resulting charge accumulation.³⁸ Thus, in 3D hybrid perovskites, both polar long-range interactions and short-range elastic



Figure 3. (a) Charge densities in the frontier molecular orbitals and spin densities of the $(EDBE)_{32}Pb_{12}Cl_{56}$ cluster. (b) Schematics of formation of a self-trapped electron and hole.

local-field fluctuations play a role in the generation of large or small polarons. Similarly, the formation of polaronic states through deformation potentials and short-range interactions is expected to occur in 2D hybrid perovskites as well, through local volumetric strain and local molecular arrangements.

To simulate the photogeneration of small polarons and charge self-trapping effects in 2D hybrid perovskites, we started by constructing periodic models where we exerted local perturbations onto the 2D perovskite supercells. Selected bond lengths (Pb–Pb, Pb–X, and X–X) were shortened in an ad hoc manner to create the local structural deformations (i.e., the self-trapped charged states). Figure 2 shows the resulting energy levels and electronic charge densities at the valence band maximum (VBM) and conduction band minimum (CBM) for the unperturbed and perturbed systems. In the unperturbed (EDBE)PbCl₄ and (EDBE)PbBr₄ systems, the charge densities at the VBM and CBM are highly delocalized along the whole inorganic plane. Once a Pb-Pb length is shortened, the CBM energy stabilizes and the electron density localizes at the Pb-Pb sites, while the hole density at the VBM is nearly unaffected. This Pb–Pb dimerization causes the formation of a self-trapped electron at Pb_2^{3+} sites, in good agreement with previous experimental observations in lead halides.³⁹⁻⁴¹ In the case of shortened X-X bond lengths, the hole density is highly localized at the Cl-Cl or Br-Br pairs, indicating the formation of V_k centers, for example, Cl₂⁻ and Br₂⁻ for (EDBE)PbCl₄ and (EDBE)PbBr₄, respectively. In the instance of a shortened Pb-X bond, holes localize at a single Pb atom, leading to the formation of Pb³⁺ centers coupled with the lattice deformation. Thus, the strong interaction between charge carriers and phonons yields the formation of self-trapped electron (Pb_2^{3+}) states and self-trapped hole $(Pb^{3+} \text{ and } X_2^{-})$ states.

These localized polaronic states are expected to act as emissive states within the gaps; the corresponding polaronic exciton energies are 2.73, 2.49, and 2.41 eV for (EDBE)PbCl₄ and 1.62, 2.19, and 2.12 eV for (EDBE)PbBr₄, as evaluated at the HSE/SOC level. The spacing between these levels (0.3-0.4 eV) agrees well with the energetics of the three emissive states to which we previously attributed the white-light emission.¹⁷ Thus, upon resonant photoexcitation of the excitonic band,

luminescence from these states gives rise to broad-band, Stokes-shifted emission. Although the organic layers are not directly involved in polaron formation, charge and dielectric confinement in the layered structure significantly increases the carrier effective masses, strengthening electron—phonon coupling and facilitating polaron formation even at room temperature. Thus, the strong electron—phonon coupling is responsible for the formation of small polarons, which results in the exceptionally large Stokes shifts observed experimentally, and further broadens the overall width of the radiative transitions.

To fully characterize the actual small polaron distributions in the 2D perovskites once a charge is removed from or added into the inorganic framework, we turned to the consideration of a molecular cluster model for the 2D hybrid perovskites and applied the following methodology. We started with the optimized crystal structure of bulk (EDBE)PbCl₄ and took a single inorganic layer surrounded by two layers of organic EDBE cations (see Figure S4). Then, we replaced the outer NH_3^+ terminations with NH_2 in order to obtain a neutral single-layer slab that has electronic features similar to those in the bulk. The molecular clusters used for the charged-state calculations were then generated from this single-layer slab following the rule $6n_{Pb} = n_{X1} + 2n_{X2}$ and $2n_{Pb} + n_{EDBE} = n_{X1} + 2n_{X2}$ $n_{\rm X2}$ in order to balance the charge of the molecular clusters ($n_{\rm Pb}$, n_{EDBE} and n_{X} are the number of Pb, EDBE, and Cl or Br atoms; X1 is a halogen atom that is only bonded to a single Pb atom, while X2 corresponds to a halogen atom that is bonded to two Pb atoms). Finally, we further simplified the cluster model by replacing the long-chain EDBE cations with CH₃NH₃⁺ to make the evaluation of these clusters (containing hundreds of atoms) less computationally challenging while retaining the electronic properties of the inorganic framework. The resulting (EDBE)- $PbCl_4$ cluster corresponds to $(CH_3NH_3)_{32}Pb_{12}Cl_{56}$ (see Figure 3a). The same computational strategy was adopted to generate the Br-based cluster, (CH₃NH₃)₁₇Pb₆Br₂₉, from the (EDBE)-PbBr₄ crystal.

The charge densities in the frontier molecular orbitals (the highest occupied molecular orbital, HOMO, and the lowest unoccupied molecular orbital, LUMO) as well as the polaron

charge (spin) densities obtained from the optimized geometries of the (CH₃NH₃)₃₂Pb₁₂Cl₅₆ molecular cluster are shown in Figure 3a. In the neutral system, both electrons and holes are largely delocalized within the inorganic plane. Once one electron is removed from the cluster, a Pb-Cl bond shortens from 2.95 to 2.69 Å, and the hole density localizes at this Pb site and neighboring Cl atoms. The resulting charge density distribution is similar to the one obtained via the local perturbation of the supercell model when shortening the Pb-Cl bond length (Figure 2), which confirms that the local structural change induces the formation of a localized, positive small polaron (Pb³⁺ self-trapped hole). Adding an electron to the neutral cluster causes very strong elongation of a Pb-Cl bond (from 2.96 to 4.23 Å) as the strongly localized additional electron density surrounding Pb²⁺ leads to large repulsive interactions between adjacent Pb and Cl atoms, thus markedly deforming the local geometry of the cluster.

To minimize possible edge effects in the cluster calculations, we also derived the molecular orbitals and spin densities in larger $(CH_3NH_3)_{52}Pb_{21}Cl_{94}$ and $(CH_3NH_3)_{31}Pb_{12}Br_{55}$ clusters, which are shown in Figure 4. While the charge densities are more delocalized throughout the inorganic framework in the large neutral clusters, similar polaron charge (spin) density



Figure 4. Charge densities in the frontier molecular orbitals and polaron charge (spin) densities for (a) the $(CH_3NH_3)_{52}Pb_{21}Cl_{94}$ cluster and (b) $(CH_3NH_3)_{31}Pb_{12}Br_{55}$ cluster.

features are calculated for both the excess hole and electron, mainly spanning over a Pb atom and the nearest-neighbor Cl atoms with a small radius of $r \approx 6$ Å. In addition, in both cases, the electron densities are localized on two neighboring Pb atoms, indicating that negative charged species associated with Pb₂³⁺ are generated once an electron is added to the neutral clusters.

The precise characterization of the actual cluster distortions induced by the presence of small polarons allows us to obtain a quantitative estimate of the polaron binding energy $(E_{polaron})$, which is defined as the energy difference between the charged states at the neutral geometry and that at the relaxed charged geometry. The resulting positive [negative] polaron binding energies are $E_{polaron} = 884$ [1240] meV for the $(CH_3NH_3)_{52}Pb_{21}Cl_{94}$ cluster and $E_{polaron} = 392$ [1041] meV for the (CH₃NH₃)₃₁Pb₁₂Br₅₅ clusters (note that we did not include SOC effects in the cluster calculations, which may slightly underestimate the polaron binding energies⁴²); the significantly larger negative polaron binding energies are related to the marked increase in the Pb-X bond lengths in the negatively charged clusters. Such large polaron binding energies are consistent with the large Stokes shift observed in whiteemitting 2D perovskites; they further confirm that the inorganic framework undergoes a strong structural relaxation upon generation of positive/negative polarons.

In summary, we have systematically studied the excitonic and polaronic properties of 2D hybrid perovskites with broad-band emission via first-principles calculations. The optical absorption spectra of the 2D hybrid perovskites, which consist of excitonic features and interband transitions, are well reproduced when considering explicitly both electron-hole interactions and SOCs. The formation of small polarons can be simulated by exerting local deformations of the perovskite supercells, which leads to self-trapped states with energy spacings on the order of 0.3–0.4 eV; these calculated spacings are consistent with the broad-band emission spectra observed experimentally.

To reach a quantitative description of the polaron charge distributions and relaxation energies, we developed a (computationally intensive) molecular cluster approach. The results point to the formation of small positive/negative polarons with large binding energies ($E_{\rm polaron} \approx 0.4-1.2~{\rm eV}$) and tightly localized ($r \approx 6~{\rm \AA}$) at specific Pb–X sites. These theoretical methodologies are applicable to other low-dimensional hybrid perovskites with strong electron–vibration interactions in order to investigate their charge localization and transport behavior. Our results suggest that broad-band white-light emission can be achieved in various classes of low-dimensional hybrid perovskites with strong electron–vibration couplings and large photoinduced distortions of the crystalline lattice when using long organic cations.

COMPUTATIONAL METHODS

Periodic Structure Calculations. Density functional theory (DFT) calculations were performed to optimize the crystal structures of (EDBE)PbCl₄ and (EDBE)PbBr₄ using the projectoraugmented wave (PAW) method as implemented in the VASP code.^{30,31} The experimental crystal structures of both crystals at room temperature were used as starting points. To obtain the electronic band structures and charge densities of the unperturbed and perturbed supercells in Figure 2, the PBE functional, with and without consideration of SOC, as well as the hybrid functional HSE^{28,29} was used. The plane-wave cutoff energy was set to 500.0 eV, and Γ-centered $6 \times 6 \times 6$ and 6×6 \times 2 K-meshes in the Brillouin zone were employed for (EDBE)PbCl₄ and (EDBE)PbBr₄, respectively. The bulk crystal structures were fully relaxed until the total force on each atom was <0.01 eV/Å. The molecular graphics viewer (VESTA) was used to plot all of the crystal/molecular structures and charge densities.

Cluster Structure Calculations. The neutral and charged geometries of the 2D hybrid perovskite clusters were optimized at the hybrid range-corrected functional CAM-B3LYP level with the Gaussian 09 code, version D.01; the LANL2DZ basis set was used for the Pb atoms, and the 6-31G* basis set was used to describe H, C, N, O, Cl, and Br. To mimic the highly polarizable dielectric environment of the perovskites, we considered a dielectric medium ($\varepsilon = 78.35$) via the conductor-like polarizable continuum model (CPCM).

Optical Response Calculations. The ground-state Kohn–Sham energies and wave functions were obtained with the PBE functional using the PWSCF code implemented in the QE package.³² Norm-conserving Troullier–Martins pseudopotentials including full-relativistic effects were used to describe the electron–ion interactions. Plane-wave basis set cutoffs of the wave functions and the augmented density were set at 80 and 480 Ry, respectively. The $4 \times 4 \times 4$ and $4 \times 4 \times 2$ Monkhorst– Pack grids were chosen for sampling the Brillouin zone of (EDBE)PbCl₄ and (EDBE)PbBr₄, respectively. On the basis of the electronic wave functions of the ground state, the optical transitions were calculated using the BSE method implemented in the YAMBO code³³

$$(E_{c\mathbf{k}} - E_{v\mathbf{k}})A_{vc\mathbf{k}}^{S} + \sum_{\mathbf{k}'v'c'} \langle vc\mathbf{k}|K_{eh}|v'c'\mathbf{k}'\rangle A_{v'c'\mathbf{k}'}^{S} = \Omega^{S}A_{vc\mathbf{k}}^{S}$$

where $E_{\rm ck}$ and $E_{\rm vk}$ are the quasiparticle energies of the conduction and valence states, respectively; $A_{\rm vck}^{\rm S}$ are the expansion coefficients of the excitons, and $\Omega^{\rm S}$ are the eigenenergies.

The imaginary part (ε_1) of the optical response was calculated by evaluating direct electronic transitions between occupied and higher-energy unoccupied electronic states as obtained from

$$\varepsilon_{1}(\omega) \propto \sum_{S} \left| \sum_{cvk} A_{vck}^{S} \frac{\langle ck | p_{i} | vk \rangle}{\epsilon_{ck} - \epsilon_{vk}} \right| \delta(\Omega^{S} - \hbar\omega - \Gamma)$$

where $\langle ck|p_i|vk \rangle$ are the dipole matrix elements for electronic transitions from valence to conduction states.

The absorption coefficient was obtained as

$$\alpha = 2\omega \left[\frac{(\varepsilon_1^{2}(\omega) + \varepsilon_2^{2}(\omega))^{1/2} - \varepsilon_1(\omega)}{2} \right]^{1/2}$$

SOC interactions and the spinor wave functions were also included as input for the optical response calculations. This approach has been previously used to reproduce successfully the optical properties of hybrid perovskite systems with spin–orbit interactions.⁴³

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsenergy-lett.6b00659.

Illustrations of the band structures and bandgaps calculated by different methods and codes and of how the 2D perovskite clusters were built (PDF)

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Notes

The authors declare no competing financial interest.

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