Many-Body Fermion Density Matrices and Pattern-Forming Cellular Automata

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Part I

Many-Body Fermion Density Matrices

Why Numerical Methods?

- Ground-state properties (energy, correlations, *T* = 0 phase diagram) of *N* → ∞ interacting QM degrees of freedom (spins, bosons, fermions) can be calculated from the ground-state wave function.
- Exact analytical many-body wave functions rare.
- Approximate analytical many-body wave functions
 - Perbutative: not valid over all Hamiltonian parameter(s); or
 - Variational: involve a priori assumptions on structure of wave function.
- Numerical methods like
 - Exact Diagonalization (ED); and/or
 - Quantum Monte Carlo (QMC)

to obtain numerical wave functions or correlations of finite systems. Extrapolations then needed for $N \rightarrow \infty$.

Why Density Matrices?

• Build up QM state of infinite system from QM states of finite subsystems.



• Pure state on infinite system \implies mixed state on finite subsystem. (wave function Ψ) (density matrix ρ)

Why Density Matrices?

• Calculation of correlations of products of local observables.



• Expectation: $\langle \Psi | c_1^{\dagger} c_2^{\dagger} c_3 c_4 | \Psi \rangle = \langle c_1^{\dagger} c_2^{\dagger} c_3 c_4 \rangle = \operatorname{Tr} \rho_{AB} c_1^{\dagger} c_2^{\dagger} c_3 c_4.$

Quantum Renormalization Group (QRG)

- Repeated cycles of truncation and renormalization. [S. R. White, PRL **69**, 2863 (1992); R. J. Bursill, PRB **60**, 1643 (1999)]
- Truncation naturally guided by density matrix (DM).



• Understanding structure of DM may lead to algorithmic improvements (e.g. Transfer-Matrix Renormalization Group (TMRG)) and better ways to build symmetries of problem into RG.

Noninteracting Spinless Fermions in *d* **Dimensions**



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Exact Formula for Cluster DM

• For cluster of *N_C* sites, DM found to have the structure [M.-C. Chung and I. Peschel, PRB **64**, 064412 (2001)]

$$\rho_C \propto \exp\left[-\sum_{l=1}^{N_C} \varphi_l f_l^{\dagger} f_l\right], \quad \{f_l, f_l^{\dagger}\} = 1.$$

• Start from normalized grand-canonical DM of system

$$\rho_0 = \mathscr{Q}^{-1} \exp\left[-\beta(H-\mu F)\right] = \mathscr{Q}^{-1} \exp\left[\sum_{i,j} \Gamma_{i,j} c_i^{\dagger} c_j\right] = \mathscr{Q}^{-1} \exp\left[\sum_k \tilde{\Gamma}_{kk} \tilde{c}_k^{\dagger} \tilde{c}_k\right]$$

chemical potential μ , inverse temperature β , fermion number operator $F = \sum_i c_i^{\dagger} c_i = \sum_k \tilde{c}_k^{\dagger} \tilde{c}_k$, grand-canonical partition function \mathcal{Q} , and coefficient matrices Γ ($\tilde{\Gamma}$ in momentum space).

• Introduce fermionic coherent states

$$|\boldsymbol{\xi}\boldsymbol{\eta}\rangle = |\boldsymbol{\xi}_1 \cdots \boldsymbol{\xi}_{N_C}; \boldsymbol{\eta}_1 \cdots \boldsymbol{\eta}_{N-N_C}\rangle = \exp\left(-\sum_{i=1}^{N_C} \boldsymbol{\xi}_i c_i^{\dagger} - \sum_{j=1}^{N-N_C} \boldsymbol{\eta}_j c_j^{\dagger}\right)|0\rangle.$$

 ξ_i and η_j are anticommuting Grassman variables.

Exact Formula for Cluster DM

• Matrix elements of ρ_0 are

$$\langle \boldsymbol{\xi} \boldsymbol{\eta} | \rho_0 | \boldsymbol{\xi}' \boldsymbol{\eta}' \rangle = \mathscr{Q}^{-1} \exp\left[\left(\boldsymbol{\xi}^* \ \boldsymbol{\eta}^* \right) e^{\Gamma} \begin{pmatrix} \boldsymbol{\xi}' \\ \boldsymbol{\eta}' \end{pmatrix} \right].$$

• Coefficient matrices

$$\mathbb{1} + e^{\Gamma} = \begin{bmatrix} A & B \\ B^T & C \end{bmatrix}, \quad \left(\mathbb{1} + e^{\Gamma}\right)^{-1} = \begin{bmatrix} D & E \\ E^T & F \end{bmatrix},$$

A and D square $N_C \times N_C$ symmetric matrices, B and E nonsquare $N_C \times (N-N_C)$ matrices, C and F square $(N - N_C) \times (N - N_C)$ symmetric matrices.

• Partial trace over environment, gaussian integration and matrix block inversion gives matrix elements of cluster DM

$$\begin{aligned} \langle \boldsymbol{\xi} | \rho_C | \boldsymbol{\xi}' \rangle &= \int d\boldsymbol{\eta}^* d\boldsymbol{\eta} \, e^{-\boldsymbol{\eta}^* \mathbb{1} \boldsymbol{\eta}} \, \langle \boldsymbol{\xi} - \boldsymbol{\eta} | \rho_0 | \boldsymbol{\xi}' \, \boldsymbol{\eta} \rangle \\ &= \det D \, \exp \left\{ \boldsymbol{\xi}^* \left[D^{-1} - \mathbb{1} \right] \boldsymbol{\xi}' \right\}. \end{aligned}$$

Exact Formula for Cluster DM

• Organize two-point functions $G_{\bar{i}j} = \langle \Psi_F | c_i^{\dagger} c_j | \Psi_F \rangle$ into Green-function matrix \mathscr{G} and cluster Green-function matrix G_C :



• Momentum space matrix elements of $\tilde{\mathscr{G}}$ and $\tilde{\Gamma}$,

$$\tilde{\mathscr{G}}_{kk} = \langle \Psi_F | \tilde{c}_k^{\dagger} \tilde{c}_k | \Psi_F \rangle = \frac{1}{\exp \beta(\epsilon_k - \mu) + 1}, \quad \tilde{\Gamma}_{kk} = -\beta(\epsilon_k - \mu)$$

• Matrix relations

$$e^{\tilde{\Gamma}} = \tilde{\mathscr{G}}(\mathbb{1} - \tilde{\mathscr{G}})^{-1} \implies e^{\Gamma} = \mathscr{G}(\mathbb{1} - \mathscr{G})^{-1}, \quad \mathbb{1} + e^{\Gamma} = (\mathbb{1} - \mathscr{G})^{-1}.$$

• Cluster matrix relations

$$D = \mathbb{1} - G_C, \quad D^{-1} = (\mathbb{1} - G_C)^{-1}, \quad D^{-1} - \mathbb{1} = G_C(\mathbb{1} - G_C)^{-1}.$$

• Cluster DM matrix elements

$$\langle \boldsymbol{\xi} | \rho_C | \boldsymbol{\xi}' \rangle = \det(\mathbb{1} - G_C) \exp\left[\boldsymbol{\xi}^* G_C (\mathbb{1} - G_C)^{-1} \boldsymbol{\xi}' \right]$$

Exact formula for operator form of cluster DM [SAC and C. L. Henley, PRB 69, 075111 (2004); I. Peschel, J. Phys. A: Math. Gen 36, L205 (2003)]

$$\rho_C = \det(\mathbb{1} - G_C) \exp\left\{\sum_{i,j} \left[\log G_C (\mathbb{1} - G_C)^{-1}\right]_{ij} c_i^{\dagger} c_j\right\}$$

Many-Body Eigenstates and Eigenvalues of Cluster DM

• Eigenstates and eigenvalues of cluster Green-function matrix

$$|\lambda_l\rangle = f_l^{\dagger} |0\rangle, \quad G_C |\lambda_l\rangle = \lambda_l |\lambda_l\rangle.$$

• $|\lambda_l\rangle$ simultaneous 1-particle eigenstates of ρ_C ,

$$\rho_C |\lambda_l\rangle = \det(\mathbb{1} - G_C) e^{-\varphi_l} |\lambda_l\rangle, \quad \varphi_l = -\ln\left[\lambda_l (1 - \lambda_l)^{-1}\right].$$

• *P*-particle eigenstate of ρ_C described by a set of numbers $(n_1, \ldots, n_l, \ldots, n_{N_C})$, $n_l = 0, 1$,

$$|w\rangle = f_{l_1}^{\dagger} f_{l_2}^{\dagger} \cdots f_{l_P}^{\dagger} |0\rangle, \quad n_l = \delta_{l,l_i},$$

with eigenvalue (DM weight)

$$w = \det(\mathbb{1} - G_C) \exp(-\Phi), \quad \Phi = \sum_{l=1}^{N_C} n_l \varphi_l.$$

• [SAC and C. L. Henley, PRB **69**, 075112 (2004)]

free spinless fermion		ρ_{C}		
Hamiltonian	$H = \sum_{k} \epsilon_k \tilde{c}_k^{\dagger} \tilde{c}_k$	$ ilde{H} = \sum_{l} \varphi_{l} f_{l}^{\dagger} f_{l}$	pseudo-Hamiltonian	
1-particle energy	ϵ_k	$arphi_l$	1-particle pseudo-energy	
1-particle operator	${ ilde c}_k$	f_l	1-particle pseudo-operator	
occupation number	n_k	n_l	pseudo-occupation number	
total energy	$E = \sum_{l} n_k \epsilon_k$	$\Phi = \sum_{l} n_{l} \varphi_{l}$	total pseudo-energy	
Fermi level	ϵ_F	$arphi_F$	pseudo-Fermi level	

• Based on analogy, average pseudo-occupation is

$$\langle n_l \rangle = \lambda_l = \frac{1}{\exp \varphi_l + 1}.$$

- Most probable eigenstate of ρ_C has structure of Fermi sea: $\varphi_l \leq \varphi_F$ occupied, $\varphi_l > \varphi_F$ empty.
- Other eigenstates look like 'excitations' about Fermi sea.

Operator-Based DM Truncation Scheme

- DM eigenstates with largest weights always have $\varphi_l \ll \varphi_F$ occupied and $\varphi_l \gg \varphi_F$ empty. These differ in n_l for $\varphi_l \approx \varphi_F$;
- Keep only f_l^{\dagger} with $\varphi_l \approx \varphi_F$:



- Compare with weight-ranked truncation (used for e.g., in the DMRG):
 - eigenstates with largest weights all kept;
 - some eigenstates with intermediate weights not kept, but replaced with eigenstates with slightly smaller weights;
 - eigenstates with small weights not kept.

Results: 1D Noninteracting Spinless Fermions



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• Definition of system:



- 5-site cluster, various system sizes $N = |\mathbf{R}_1 \times \mathbf{R}_2|$.
- Computation of cluster DM ρ_C :
 - obtain ground state $|\Psi\rangle$ (exact diagonalization or otherwise);

$$-\rho_0 = |\Psi\rangle \langle \Psi| \xrightarrow[\text{trace}]{\text{trace}} \rho_C \text{ (care with fermion sign!);}$$

- translational invariance;
- degeneracy, orientation and twist boundary conditions averaging.

2D Cluster DM Weights

- nearest neighbor hopping (noninteracting) and nearest neighbor hopping + infinite nearest neighbor repulsion (strongly interacting);
- 0-particle weight not interesting monotonic decreasing with filling \bar{n} , very similar for noninteracting and strongly interacting systems;
- 5 1-particle weights, characterized by "angular momentum" quantum numbers s₁, p_x, p_y, d, s₂.
- Infinite system limit for noninteracting system, ~200 sites for a squarish finite system without twist boundary conditions averaging;
- Small finite systems (noninteracting & interacting) of ~20 sites, strong influence from finite size effects (most severe for *d* state, least severe for *s*₁ state) ⇒ require twist boundary conditions averaging.

1-Particle Weights (Noninteracting)



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1-Particle Weights (Strongly Interacting)



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Correlation DM

- Entanglement entropy $S = -\operatorname{Tr} \rho_C \log \rho_C$ as gross diagnostic of correlations. [Vidal *et al*, PRL **90**, 227902 (2003)].
- Systematic extraction of order parameters from cluster DM:
 - Disconnected clusters A at **r** and B at **r'**;
 - Cluster DMs ρ_A and ρ_B , supercluster DM ρ_{AB} ;
 - Define correlation DM, $\rho^c = \rho_{AB} \rho_A \otimes \rho_B$;
- Correlation DM contains *all* correlations between A and B want to attribute these correlations to various order parameters.

Singular Value Decomposition of Correlation DM

• Start from operator basis of referencing operators

$$K_{\mathbf{n}} = \prod_{i} \left[n_{i}c_{i} + (1 - n_{i})c_{i}c_{i}^{\dagger} \right], \quad K_{\mathbf{n}} |\mathbf{n}'\rangle = \delta_{\mathbf{nn}'} |0\rangle.$$

- Write $\rho^c = \sum_{\mathbf{n},\mathbf{n}'} \left[(-1)^{f_{\mathbf{n}\mathbf{n}'}} \langle \mathbf{n} | \rho_{AB} | \mathbf{n}' \rangle \langle \mathbf{l} | \rho_A | \mathbf{l}' \rangle \langle \mathbf{m} | \rho_B | \mathbf{m}' \rangle \right] K_{\mathbf{l}'}^{\dagger} K_{\mathbf{l}'} K_{\mathbf{m}'}^{\dagger} K_{\mathbf{m}'}$, where $|\mathbf{n}\rangle = |\mathbf{l}\rangle |\mathbf{m}\rangle$, and $K_{\mathbf{n}} = K_{\mathbf{l}} K_{\mathbf{m}}$.
- Product of referencing operators orthonormal with respect to Frobenius norm

$$\operatorname{Tr} X_{\mathbf{l}\mathbf{l}'}X_{\mathbf{l}''\mathbf{l}'''} = \delta_{\mathbf{l}\mathbf{l}',\mathbf{l}''\mathbf{l}'''}, \quad \operatorname{Tr} Y_{\mathbf{m}\mathbf{m}'}Y_{\mathbf{m}''\mathbf{m}'''} = \delta_{\mathbf{m}\mathbf{m}',\mathbf{m}''\mathbf{m}'''};$$

• Numerical singular value decomposition (SVD) of coefficient matrix of ρ^c gives

$$\rho^c = \sum_{\alpha} \sigma_{\alpha} X_{\alpha} Y_{\alpha}^{\dagger};$$

• $X_{\alpha}Y_{\alpha}^{\dagger}$ and $X_{\beta}Y_{\beta}^{\dagger}$ represent independent quantum fluctuations on clusters *A* and *B*, i.e. can treat X_{α} and Y_{α} as order parameters.

Extended Hubbard Ladder of Spinless Fermions



$$\begin{split} H &= -t \sum_{a} \sum_{j} \left(c_{j,a}^{\dagger} c_{j+1,a} + c_{j+1,a}^{\dagger} c_{j,a} \right) - t \sum_{j} \left(c_{j,1}^{\dagger} c_{j,2} + c_{j,2}^{\dagger} c_{j,1} \right) \\ &- t' \sum_{j} \left(c_{j,1}^{\dagger} n_{j+1,2} c_{j+2,1} + c_{j+2,1}^{\dagger} n_{j+1,2} c_{j,1} \right) \\ &- t' \sum_{j} \left(c_{j,2}^{\dagger} n_{j+1,1} c_{j+2,2} + c_{j+2,2}^{\dagger} n_{j+1,1} c_{j,2} \right) \\ &+ V \sum_{a} \sum_{j} n_{j,a} n_{j+1,a} + V \sum_{j} n_{j,1} n_{j,2} \end{split}$$

Expected Order Parameters

- $V \rightarrow \infty$, no nearest-neighbor occupation, smaller Hilbert space for exact diagonalization.
- Basic physics that of spinless Luttinger liquid:
 - Power-law decay of charge density wave (CDW) and superconducting (SC) correlations;
 - CDW dominate at long distances if $K_{\rho} < 1$, SC dominate at long distances if $K_{\rho} > 1$, Fermi liquid (FL) if $K_{\rho} = 1$;
 - Insulator at half-filling.
- Tunable parameters in model:
 - Filling fraction \bar{n} : fermion fluid for $\bar{n} \ge 0$, hole fluid for $\bar{n} \le \frac{1}{2}$;
 - Correlated hop *t*′ favors pairing and hence SC correlations.

Results From SVD of Correlation DM



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- Learning from noninteracting spinless fermions:
 - Exact formula for cluster DM;
 - Statistical mechanics analogy;
 - Operator-Based DM Truncation Scheme;
 - When 2D infinite-system limit reached numerically;
 - Effectiveness of averaging aparatus.
- Applying to strongly interacting spinless fermions:
 - Adaptation and extension of Operator-Based DM Truncation Scheme;
 - Signatures of quantum phase transitions.
- SVD of correlation DM
 - Systematic extraction of order parameters;
 - Signatures of quantum phase transitions.

Part II

Pattern-Forming Cellular Automata

Cellular Automata

- A collection of finite state machines. The state of the *i*th machine at time *t* given by $s_i(t) \in \mathcal{A}$, where \mathcal{A} is a finite set, also called the *alphabet*;
- A collection of neighborhoods. The neighborhood of the i^{th} machine is denoted by \mathcal{N}_i ;
- A dynamical rule $\varphi : \mathcal{N}_i \to \mathcal{A}$, such that $s_i(t+1) = \varphi(s_j(t) \mid j \in \mathcal{N}_i)$.

Classification of CAs

- Elementary and compound CAs. Examples are Game of Life (GOL) and the Nagel-Schreckenberg model of traffic flow respectively.
- Wolfram classified all 256 1D elementary CAs (ECAs) by their dynamical properties. Types I, II and III.
- Wolfram naming convention: if the ECA is

111 110 101 100 011 010 001 000 $\downarrow \quad \downarrow \quad \downarrow$ $\alpha_7 \quad \alpha_6 \quad \alpha_5 \quad \alpha_4 \quad \alpha_3 \quad \alpha_2 \quad \alpha_1 \quad \alpha_0$

then Wolfram rule number is $\sum_{j=0}^{7} \alpha_j 2^j$.

• No known attempts at classifying ECAs of higher dimensions.

From Pattern to ECA

- In P681 Pattern Formation and Spatio-Temporal Chaos/Prof Eberhard Bodenschatz, given PDE model, find what patterns form spontaneously. Can do the same for CA models.
- Ask the inverse question instead: given a pattern, what are all the possible CAs that spontaneously generate it?
- Two parts to this question:
 - what CA rules will have given pattern as fixed point; and
 - under which CA rules is the pattern stable?

• Consider striped phase in 1D:

• Fixed point requirement implies the transition rules

 $\bigcirc \bigcirc \bigcirc \rightarrow \times \bigcirc \times$ and $\bigcirc \bigcirc \bigcirc \bigcirc \rightarrow \times \bigcirc \times .$

• Does not uniquely determine ECA rule, 6 more transition rules to specify.

Defects in Striped Phase

- To analyze stability of striped phase, need to investigate behaviour of departures from pattern, i.e. defects, under various ECA rules.
- Point defects:



• Domain walls:



Strips Stable in Presence of Point Defects

- Since ECA not completely specified, can choose remaining transition rules to stabilize striped phase in presence of point defects.
- Demand that isolated vacancy 'heals': implies transition rules

$$OOO \rightarrow X \oplus X, \ \oplus OO \rightarrow X O X, \ OO \oplus \rightarrow X O X.$$

• Demand that isolated interstitial 'heals': implies transition rules

$$\bigcirc \bigcirc \bigcirc \rightarrow \mathsf{X} \bigcirc \mathsf{X}, \ \bigcirc \bigcirc \bigcirc \bigcirc \rightarrow \mathsf{X} \oslash \mathsf{X}, \ \bigcirc \bigcirc \bigcirc \bigcirc \rightarrow \mathsf{X} \oslash \mathsf{X}.$$

• ECA completely specified by requirements that: (a) striped phase is fixed point; (b) isolated vacancies 'heal'; and (c) isolated interstitials 'heal'.

Completed ECA Rule

$s_{j-1}(t)$	$s_j(t)$	$s_{j+1}(t)$	$s_{j}(t + 1)$
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	0
1	1	0	1
1	1	1	0

ECA is Rule 77 in Wolfram's classification scheme:

Further Considerations

- **Domain Wall Dynamics.** Both ±1 domain walls stationary under Rule 77, i.e. if start from random initial configuration, all domain walls initially present will be 'frozen in'.
- **Robustness of Striped Phase.** By modifying some transition rules in Rule 77, can test genericity of striped pattern. Found that:
 - Striped phase *most* stable under Rule 77, but also stable under 6 other ECA rules derived from Rule 77, in which a single transition rule is modified.
 - Striped phase *marginally* stable under 4 ECA rules derived from Rule 77, in which one or two transition rules are modified.
 - Striped phase unstable once more than two transition rules are modified from Rule 77. Oscillatory phase nucleates.

2D ECAs

- In 1D, neighborhood simple, unless one wants to go to next nearest neighbor.
- In 2D, greater variety of neighborhoods. Simplest neighborhood for 2D CA is von Neumann (VN) neighborhood:



• With VN neighborhood, total of $2^5 = 32$ possible local configurations \implies total of $2^{32} = 4,294,967,296$ 2D ECAs.

$\lambda = 4, v = +1$ Traveling Wave Phase

• A traveling wave phase with $\lambda = 4$ and v = +1 looks like



• The traveling wave transition rules are



Multiple Defect Analysis & Transition Rule Conflict

- Unlike in 1D, point defect analysis alone cannot fully specify ECA. Need to do multiple defect analysis.
- Four types of point defect:



- In this chosen pattern, transition rules implied by V_L conflicts with that implied by V_R , and transition rules implied by I_L conflicts with that implied by I_R .
- Generic problem.

Protocol for Conflict Resolution

- When transition rule implied by two configurations in conflict, give precedence to configuration with lower number of defects.
- When transition rule implied by leading edge configuration conflicts with that implied by trailing edge configuration, give precedence to trailing edge configuration.
- Can show that some multi-defect configurations whose implied transition rules are forfeited will still be 'healed'.
- Compromise necessary because traveling wave breaks left-right symmetry.
- Completed CA rule is Rule 2,383,284,874.



Compound CAs

- Some patterns cannot be achieved using ECAs because conflict resolution protocol used cannot ensure stability of desired pattern.
- What to do?
 - Use larger neighborhoods equivalent to a restricted class of compound ECAs.
 - Use larger state space, say $s_i(t) = 0, \frac{1}{2}, 1$.
- The main idea is to increase the number of transition rules available for pattern matching.
- Another way is to compound together ECAs.
 - Enumerate all defect configurations that can be 'healed' in a few time steps.
 - For each defect configuration, find the ECA that 'heals', while acting as identity map on other configurations, other than the desired patterned configurations.

$\lambda = 4, \nu = +1$ Traveling Wave Phase in 1-D

config		$V_L + I_L$	$V_L + I_R$	$V_R + I_L$	$V_R + I_R$	
0	0	0	1	1	0	0
0	0	1	1	1	1	1
0	1	0	0	0	1	1
0	1	1	1	1	1	1
1	0	0	0	0	0	0
1	0	1	0	1	0	1
1	1	0	0	0	0	0
1	1	1	1	0	1	0
	rule		139	43	142	46

Does It Work?

- Rules 43 and 142 by themselves most readily generate the desired pattern for initial density $\rho = \frac{1}{2}$. Not good away from half-filling.
- Rules 46 and 139 less readily generate desired pattern.
- Compounding 46 + 139 or 43 + 142 does not make desired pattern any more stable.
- Reason: competing fixed points. Back to square one need to find fixed points or limit cycles of given ECA.

Conclusions for Part II

- Standard approach to pattern formation: given model, what patterns?
- Inverse approach to pattern formation: given pattern, what models? Studied in the context of ECAs.
- Requiring that pattern be fixed point of dynamics and stable with respect to point defects completely specify 1D ECA. Notion of genericity for pattern under 'perturbations' to 1D ECA.
- For patterns in higher-dimensional ECAs, multiple defect analysis necessary.
- Generic problem of transition rule conflict, leading to reduced stability of pattern.
- Compound CAs, more transition rules for pattern matching to avoid conflicts. More transition rules \implies more fixed points and limit cycles \implies competition between fixed points.
- Try compound CAs with transition rules designed to make all but desired fixed point unstable.