# Efficient Message Authentication Codes with Combinatorial Group Testing

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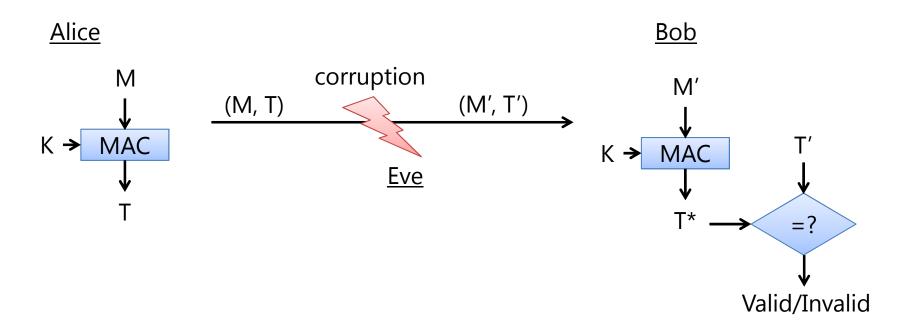
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## Introduction

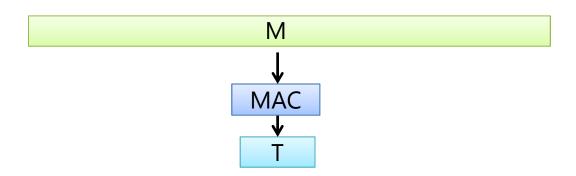
## Message Authentication Code (MAC)

- Symmetric-key primitive to detect forgery
- Compute T = MAC(K,M), send (M,T)
- Receiver checks if tag is correct using the same K
- Known efficient constructions, e.g. CMAC and HMAC



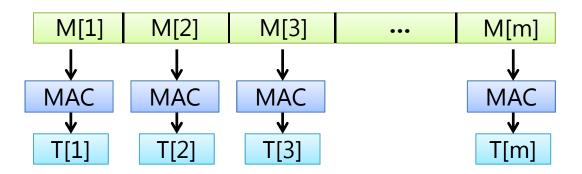
#### Limitation of standard MAC

- Verification result is binary: when verification fails, no information beyond the existence of corruption
  - HDD sectors, File sections, DB entries...
- If we know which parts have been corrupted, it would be useful to reducing cost, e.g.
  - retransmission in communication network
  - manual investigation in digital forensics
- Allows "fuzzy" authentication



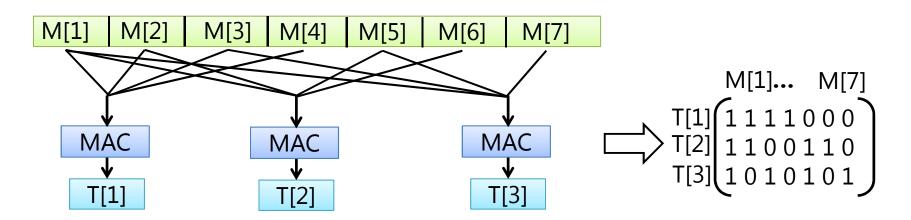
## Finding corruptions

- Trivial solution: taking multiple tags for individual parts (data items)
- We can always identify all corrupted items, but tags impact storage
- Tread-off between the quality of information and storage: could it be improved?



#### Better tread-off

- A promising direction is taking multiple tags for overlapping subsequences of items
- Example: for 7 items, take 3 tags for (M[1],M[2],M[3],M[4]), (M[1],M[2],M[5],M[6]), and (M[1],M[3],M[5],M[7])
- Represented as a 3x7 binary matrix



#### Better tread-off

- Verification result is a 3-bit vector
  - "1" denotes the (index of) unmatched tag string
- Uniquely mapped to the index of single corrupted item, or no corruption
- That is, if at most 1 item is corrupted, this scheme can identify it

	M[1] M[7] T[1]	are uncorrup	olies M[1] to M[4] oted & only M[5] oth T[2] and T[3]
Verification Result	000, 001, 010, 011	, 100, 101, 110, 113	L
Index of corrupted item	none, 7, 6, 5,	4, 3, 2, 1	<del>-</del> -

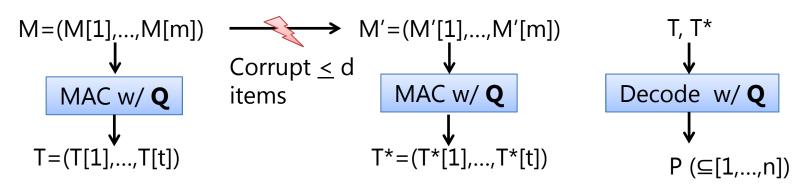
## Combinatorial group testing (CGT)

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- What we are doing is an application of combinatorial group testing (CGT)
- CGT: a method to identify defectives via group test ("does group A contain any defective?")
  - Introduced by Dorfman during WWII (1943), as a method to effectively find bad blood supplies
  - Widely applied to biology and information science (see [Du-Hwang 00])
- In our case,
  - group test = tag check
  - Defective = corrupted item
  - Tags are non-adaptively computed non-adaptive CGT (NCGT)

## Problem setting

- We have a list of data items, M=(M[1],...,M[m]), and (t x m) binary test matrix, Q
   (each M[i] is a bit string)
- 2. We take a tag vector, T = (T[1],...,T[t]), following **Q**
- 3. An adversary A corrupts at most d items (M,T) => (M',T)
- 4. At verification, we take local tag vector T\*=(T\*[1],...,T\*[t]) for M' and check if T\*[i] = T[i] for all i
- 5. Evict all items in negative tests (valid tags)
  - if  $T^*[i] = T[i]$ , then evict all j s.t.  $\mathbf{Q}_{i,j} = 1$
  - aka *naïve decoder* in CGT
- 6. Outputs indexes of all remaining items as corrupted



## Building Test Matrix

- Then, how we build (t x m) binary test matrix Q?
- For making this scheme to work, Q must be ddisjunct
  - Any union (bitwise OR) of  $\leq$ d columns of  $\mathbf{Q}$  does not cover another column of  $\mathbf{Q}$
- d-disjunct matrix
  - extensively studied from combinatorics and coding theory
- For given m and d,  $t = O(d^2 \log m)$ 
  - Classical methods w/ larger order (e.g. [Macula 96])
  - Matching deterministic method [Porat-Rothschild 08]
- We will not go further here

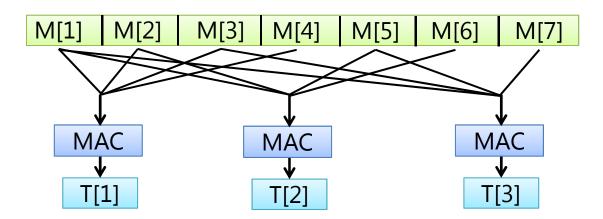
#### Previous works

- MAC/hashing/signature combined with CGT has been proposed and studied in various contexts
- MAC : [Crescenzo-Arce 04] [Goodrich-Atallah-Tamassia 05] etc.
- Hashing: Corrupltion-localizing hashing [Crescenzo-Jiang-Safavi-Naini 09], [Bonis-Crescenzo 11] etc.
- Signature : Batch signature verification [Zaverucha-Stinson 09]
- Applied to data forensics, computer virus detection, HDD integrity check, etc.

#### Efficient MAC with CGT

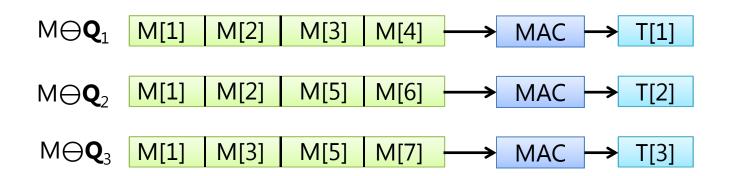
#### Motivation

- Storage cost is reduced from O(m) to O(d²log m), if we use optimum Q
- How about computation cost?
  - In standard MACs, taking single tag needs O(m) computation, assuming item processing as unit computation
  - (To the best of our knowledge) not studied in the previous works
    - the underlying MAC or hash is treated as a black box



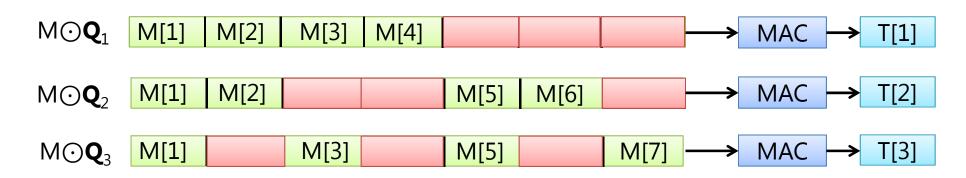
#### Naïve view

- Let  $\{0,1\}^{*m}$  be the (normal) vector space of m-strings
  - Each string is a non-empty bit sequence of any length
- For M in  $\{0,1\}^{*m}$ , let  $M \ominus \mathbf{Q}_i$  be the extracted subsequence of M for  $\mathbf{Q}_i$  (i-th row of  $\mathbf{Q}$ )
  - E.g.  $(M[1],M[2],M[3]) <math>\ominus (1,0,1) = (M[1],M[3])$
- Naïve MAC w/ CGT method :  $T[i] = MAC(M \ominus \mathbf{Q}_i)$ 
  - $O(Hw(\mathbf{Q})) = O(mt)$  computation, usually >> O(m)
  - much larger than taking single tag
- It turns out to be hard to construct efficient MAC with this view (in particular, independent of **Q**)



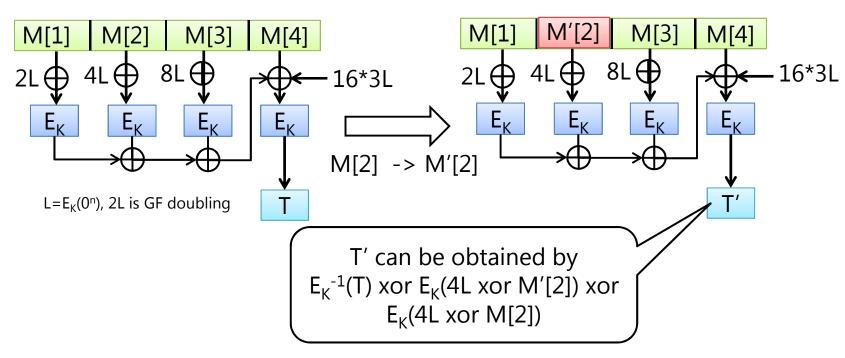
#### Our view

- Let  $\{0,1\}^{\bullet m}$  be the space of extended vectors, where each string can be an *empty string*  $(\epsilon)$
- For  $M \in \{0,1\}^{*m}$  and  $B \in \{0,1\}^{m}$ , let  $M \odot B \in \{0,1\}^{*m}$  be the extraction with empty string :  $(M[1],M[2],M[3])\odot(1,0,1) = (M[1], \epsilon,M[3])$
- Our task is to take T[i] = MAC(M $\odot$ **Q**<sub>i</sub>), where underlying MAC works over  $\{0,1\}^{\bullet m}$



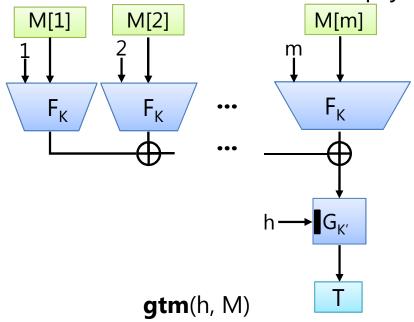
#### PMAC [Black and Rogaway 02][Rogaway 04]

- A parallelizable, blockcipher-based MAC
  - Defined over string space
  - Each M[i] is non-empty n-bit string (except last one)
  - $E_K$  is an encryption function of n-bit blockcipher (e.g. AES)
- Incremental MAC for "replace" operation
  - Once compute T for M, replace M[i] to M'[i] and recompute T' need few E calls
- Still not suitable for our purpose
  - each block has fixed length, non-empty



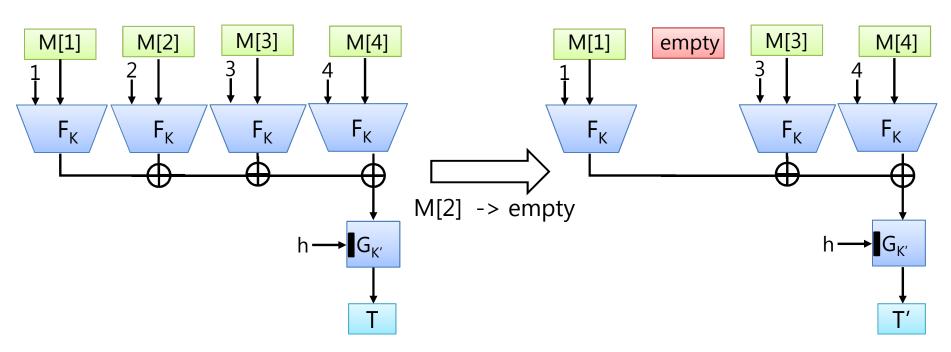
## Group testing MAC

- gtm: a generalized & extended PMAC for extended vector space
  - G : n-bit tweakable permutation
  - F: variable-input-length, n-bit output function
    - Two input variables (index, (possibly empty) string)
- G is a tweakable PRP [Liskov-Rivest-Wagner 02]
- F is an almost PRF. We require  $F(i, \epsilon) = 0^n$  for any i, and otherwise behaves as PRF
  - Can be realized with PRF over non-empty strings



## Properties of gtm

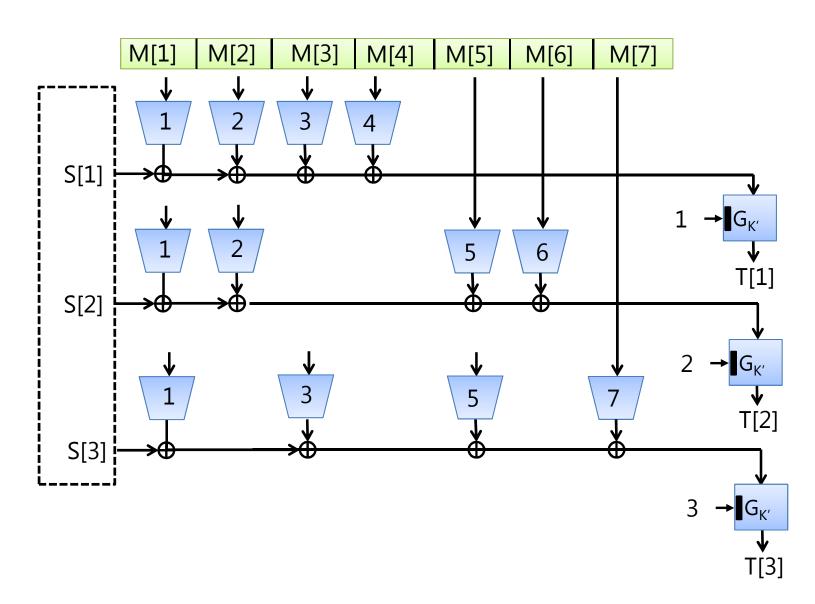
- Provably-secure MAC (PRF) over extended vector space
  - Security proof is mostly the same as PMAC
  - F's fixed point is not a problem (computational XOR-universality is enough, which allows one fixed point)
- We can handle incremental computation, "replace with empty string", in the same manner to PMAC



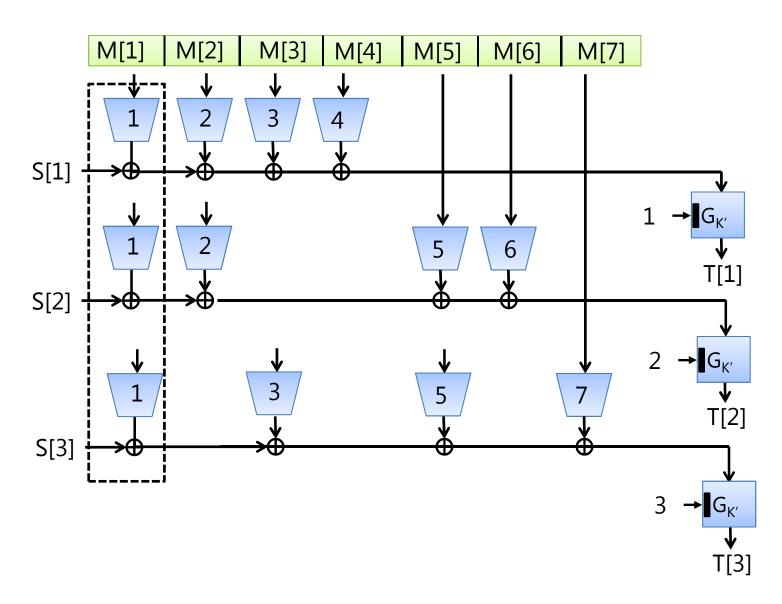
## Computing MAC tags with **gtm**

- We compute  $T[i] = \mathbf{gtm}(i, M \odot \mathbf{Q}_i)$  for i=1,...,t
  - G's tweak (i) is used for security reason
- Ultimately simple method: compute by items
  - Let S[1],...,S[t] be the state variables (initially all-zero)
  - for i = 1,...,m, take Z=F(i,M[i]), add Z to S[j] where  $\mathbf{Q}_{i,j}=1$  for all j=1,...,t
  - Output T[j] = G(j,S[j])
- We call this procedure "GTM"

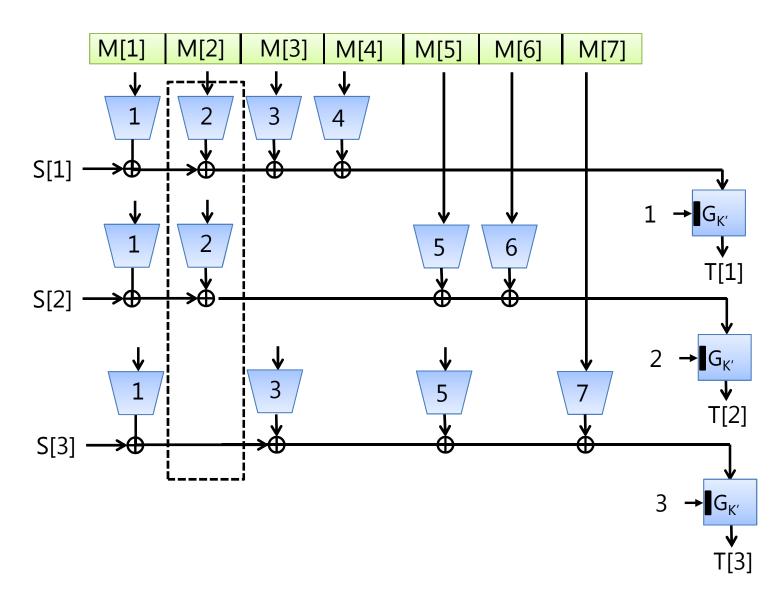
## GTM (m=7,t=3,d=1)



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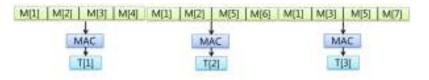


## GTM (m=7,t=3,d=1)



## Complexity of GTM

- Time: m F calls + t G calls
- Typically, m>> t and F input >> G input -> essentially O(m)
- Memory : O(t)
- And this holds for any Q
  - Can be combined with any known CGT matrix!
- For comparison, naïve method (e.g.) computing  $T[i] = \mathbf{gtm}(i, M \ominus \mathbf{Q}_i)$ 
  - $Hw(\mathbf{Q})$  F calls + t G calls -> essentially  $O(Hw(\mathbf{Q})) = O(mt)$  time, O(t) memory

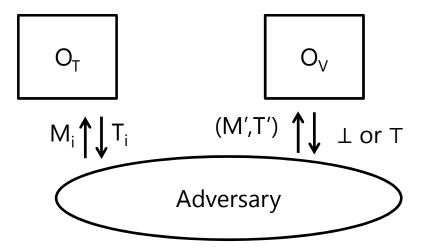


## Security

- We considered three notions (for fixed Q, t, m)
- Goal: standard deterministic MAC + corruptionfinding ability, in a secure manner
- First two notions are about unforgeability
  - Tag vector forgery (TVF) and tag string forgery (TSF)
     ( we omit here)
  - Variants of deterministic MAC security notions
- Third one is about the correctness of corruption identification
  - Corruption misidentification (CM)
  - Hardness of forging naïve decoder's output

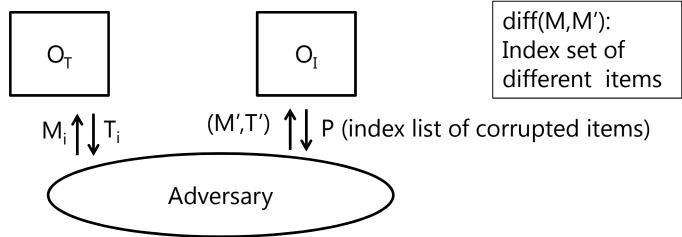
#### **TVF**

- Oracles: tagging (O<sub>T</sub>) and verification (O<sub>V</sub>)
  - O<sub>T</sub> takes M and returns T
  - $O_V$  takes (M',T') and returns  $\perp$ (invalid) or  $\top$ (valid)
- adversary A
  - first queries  $O_T$  and obtains  $(M_1, T_1), ..., (M_q, T_q)$
  - then queries (M',T') to  $O_V$  such that
  - $-(M',T') \neq (M_i,T_i)$  for all i=1,...,q
- A wins if O<sub>V</sub>'s response is valid



#### $\mathsf{CM}$

- Oracles: tagging  $(O_T)$  and identification  $(O_I)$  which performs naïve decoding
  - O<sub>T</sub> takes M and returns T
  - O<sub>I</sub> takes (M',T') and returns {1,...,m}-{i : M[i] is in a negative test}
- d-corruptive adversary A
  - first queries  $O_T$  and obtains  $(M_1, T_1), ..., (M_q, T_q)$
  - then queries (M',T') to O₁ such that
  - $-T' = T_i$  for some i=1,...,q, and  $|diff(M',M_i)| \le d$
- A wins if O<sub>i</sub>'s response is not diff(M',M<sub>i</sub>)



## Security analysis

- All notions holds if gtm is a secure PRF
- For TVF **Q** needs to contain a standard MAC (i.e. all-one row), otherwise simple attack works
  - gtm taking all-one row = MAC for M
  - No performance penalty in practice
- For CM, suppose Q is d-disjunct
  - chance to win = a non-trivial collision between tag strings, and w/o non-trivial collision naïve decoder never fails against d-corruptive adversary
- If F and G are ideally secure, and  $\bf Q$  is d-disjunct and has all-one row, security bounds are  $O(q^2t^2/2^n)$  for all three notions

# Implementation

#### CGT methods we use

- We implemented GTM using two CGT methods:
- Shifted traversal design (STD) [Thierry-Mieg 06][Thierry-Mieg-Bailly 08]
  - Composition of simple matrices by rotation and shift
- Chinise Reminder Sieve (CRS) [Eppstein-Goodrich-Hirschberg 07]
  - Number-theoretic construction
- For STD and CRS, matrix generation programs are available
  - Originally, i-th text line = a list of item indexes for T[i]
  - We need to invert it: i-th text line = a list of test indexes using M[i]

## Implementation of GTM

- F: CMAC [NIST SP800 38B]
- G: XEX [Rogaway 04]
- Both using AES-128
- Single **gtm** computation for m-block input needs m + few AES calls
- Intel CPU (Ivybridge Core i7 3770 3.4GHz)
  - AES in C runs at 13.3 cycles/byte
- Compared with conventional method (T[i] =  $\mathbf{gtm}(i, M \ominus \mathbf{Q}_i)$ )
- Only implemented tag computation

#### Results for STD

- Two cases: (m,t) = (940,169) and (2000,121)
- Proposed scheme achieves mostly the same speed as AES for 2Kbyte items
- Speed ratio is quite close to the theory (Hw(Q)/m)

**Table 1.** Implementation results for STD, with parameter (n, q, k).

Parameter (940, 13, 13), $Hw(\mathbb{Q}) = 12, 220, Hw(\mathbb{Q})/m = 13$								
(m,t) = (940,169)	16	32	64	128	256	512	1024	2048
Proposed 63	.4	64.0	26.8	20.5	17.3	15.7	14.8	14.4
Conventional 430	.2 31	2.2	249.4	219.8	200.4	190.8	186.7	184.0
Parameter (2000, 11, 11), $Hw(\mathbb{Q}) = 22, 220, Hw(\mathbb{Q})/m = 11.11$								
(m,t) = (2000,121)	16	32	64	128	256	512	1024	2048
Proposed 55	.3	33.9	27.3	20.2	16.8	15.1	14.5	14.1
Conventional 3	61 25	59.7	206.9	180.7	166.8	159.5	155.9	153.8

Item length (byte)
Speed
(cycles/byte)

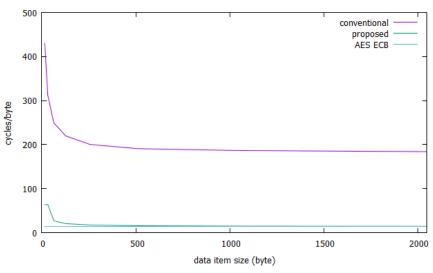
#### Results for CRS

- Three cases:  $(m,t)=(10^4,378)$ ,  $(10^4,89)$  and  $(10^5,131)$
- Similar results as STD
- Improvement factor around 8 ~ 15 (depending on matrix)

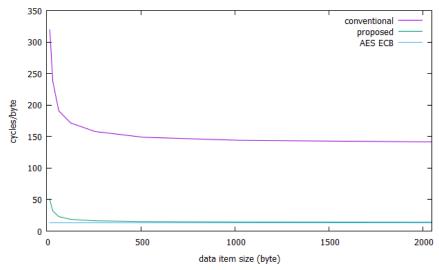
**Table 2.** Implementation results for CRS, with parameter (n, d).

Parameter $(10^4, 5)$ , $Hw(\mathbb{Q}) = 150,000$ , $Hw(\mathbb{Q})/m = 15$								
$(m,t) = (10^4, 378)$		$6 \mid 3$	2   64	128	256	512	1024	2048
Proposed	60.	9   37.	6 25.8	20	17.1	15.6	14.8	14.5
Conventional	492.	4 353.	5 285	251.4	233	226.9	218.2	215.5
Parameter $(10^4, 2)$ , $Hw(\mathbb{Q}) = 80,000$ , $Hw(\mathbb{Q})/m = 8$								
$(m,t) = (10^4, 89)$	16	32	64	128	256	512	1024	2048
Proposed	51	30.8	22.6	18.4	16.4	15.3	14.7	14.5
Conventional	259.5	189.7	156.1	135.5	125.7	121.2	117.7	116.3
Parameter $(10^5, 2)$ , $\text{Hw}(\mathbb{Q}) = 1,000,000$ , $\text{Hw}(\mathbb{Q})/m = 10$								
$(m,t) = (10^5, 131)$	16	32	64	128	256	512	1024	2048
Proposed	49.7	31.9	23	18.6	16.3	15.1	14.5	14.1
Conventional	319.6	237.5	190.7	171.6	158.1	148.9	144.1	141.5

## Speed comparisons



The case of STD (m,t) = (940,169)



The case of CRS  $(m,t) = (10^5,131)$ 

#### Extensions

- 1. CM-security does not allow the tags to be corrupted
  - When tags are stored separately this is fine, but for communication it is unlikely to hold
- 2. More relaxed identification
  - Output is a superset of corrupted items with predetermined margin
  - Studied by Corruption-localizing hashing [CJS09]
- Both extensions are possible by using CGT matrix that can tolerate errors at testing
  - Error-correcting list disjunct matrix [Ngo-Porat-Rudra 11] or [Cheraghchi 13]
  - work in progress

#### Conclusion

- We studied MAC combined with CGT, in particular about its efficiency
- Naively we need O(mt) computations, if we use a CGT matrix of t tests
- Our proposal (GTM) achieves O(m+t) computations (essentially O(m)) for any matrix of t tests
  - using a simple yet non-trivial extension of PMAC
  - proved security in a concrete security framework
- Experimental implementation w/ known CGT matrices demonstrate the effectiveness of our proposal

# Thank you!