

Online Authenticated Encryption

Reza Reyhanitabar

EPFL
Switzerland



ASK 2015
30 Sept - 3 Oct
Singapore

Agenda

I. The Emergence of Online-AE (OAE)

II. Definitions of Security Notions

III. Our New Security Definitions(s) and Construction(s)

IV. Conclusion

The emergence of online-AE (OAE)

Fleischmann, Forler, Lucks (FFL)

McOE: A Family of Almost Foolproof On-Line Authenticated Encryption Schemes.
FSE 2012. (Full version, with Wenzel, retitled “McOE: A Foolproof On-line Authenticated Encryption Scheme.” Cryptology ePrint report 2011/644 (Nov 2011; Dec 2013))

Promised an AE notion & scheme that was

- **online** ← **single pass** encryption with **$O(1)$ memory** and
- **misuse resistant** ← retain security in the presence of **nonce-reuse**

APE

Joltik

Prøst-APE

COBRA

ICEPOLE

MORUS

COPA

KIASU

Prøst-COPA

Minalpher

iFeed

NORX

ElmD

Marble

SHELL

Artemia

Jambu

STRIBOB

Deoxys

POET

++AE

CBEAM

Keyak

↗
**original
versions**

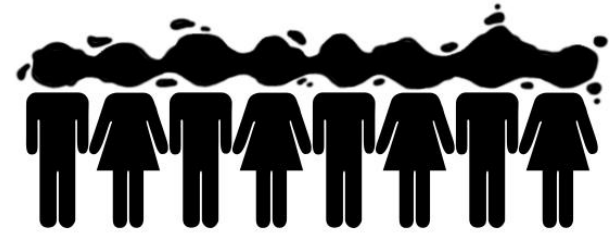
FFL-security claimed by authors

This claimed by others

Something **like** FFL-security claimed by authors

This claimed by others

Today



The FFL definition (“OAE1”) has several issues.

What does it **say**?

What’s **problematic** with what it says?

What **should** a definition for online-AE say?

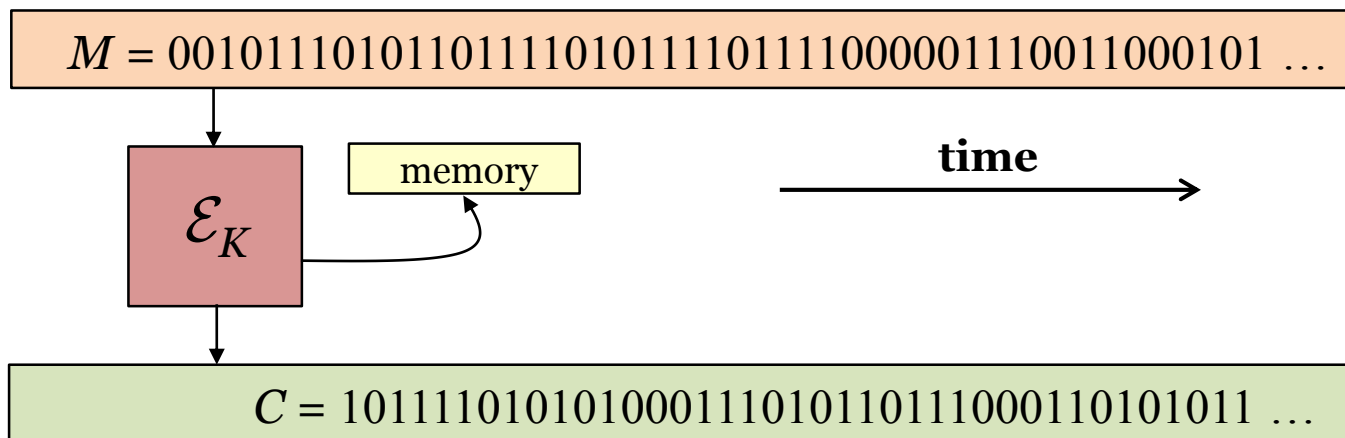
- 1) If we want it to be as nonce-reuse misuse-resistant as possible
- 2) If we don’t care about nonce-reuse misuse resistance

This talk is based on the following paper:

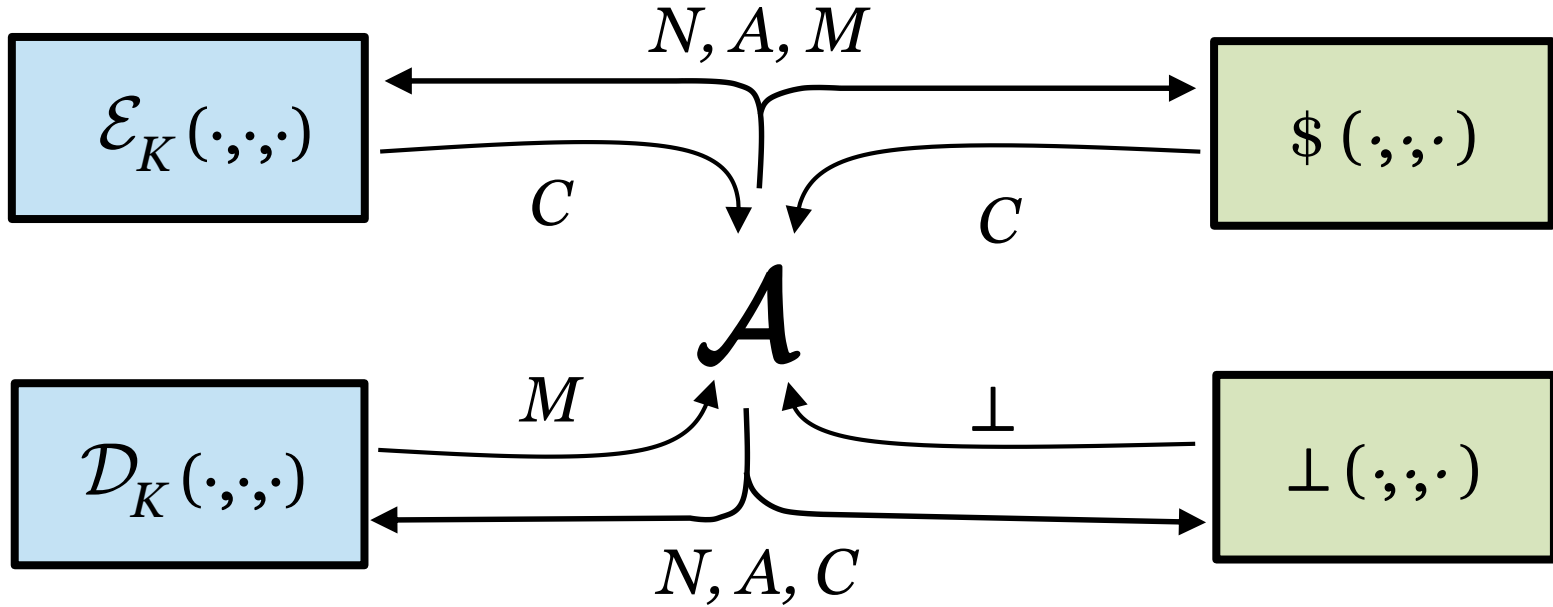
[Viet Tung Hoang, Reza Reyhanitabar, Phillip Rogaway, Damian Vizár:](#)

[“Online Authenticated-Encryption and its Nonce-Reuse Misuse-Resistance”, CRYPTO 2015](#)

Both
being **online** and
being **nonce-reuse secure** are good aims



nAE: Definition

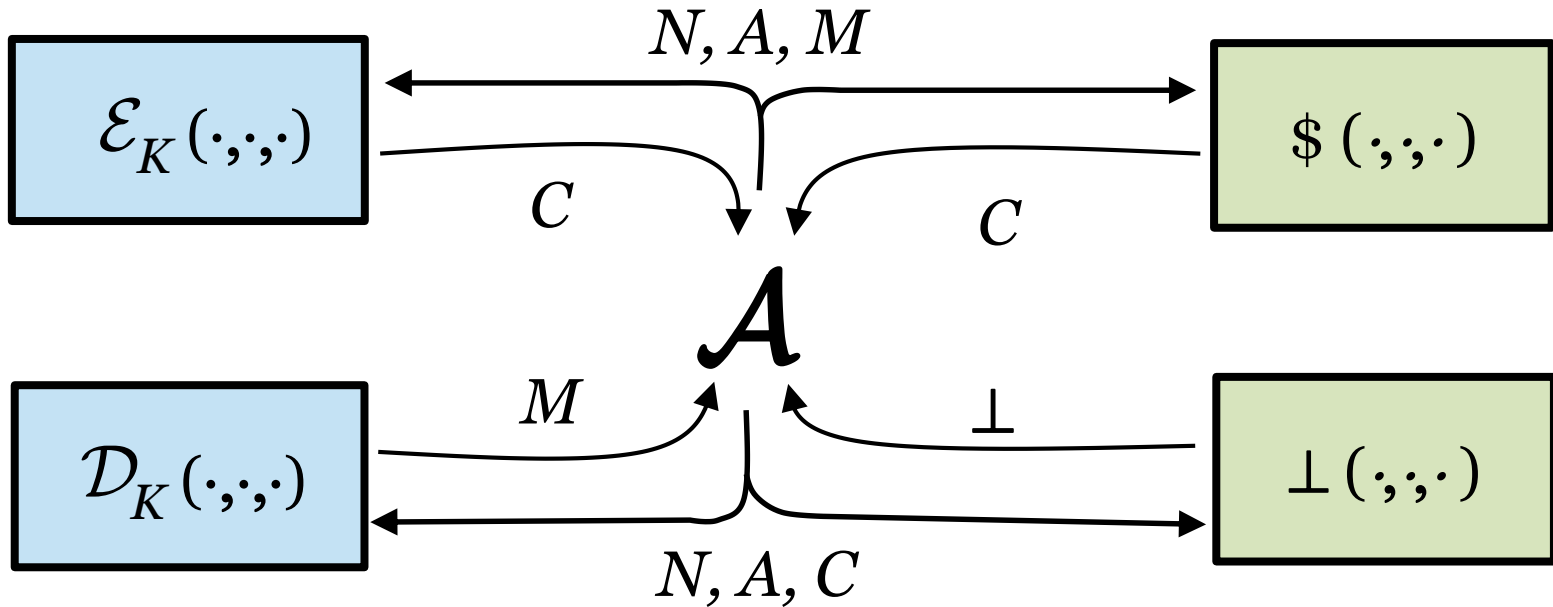


$$\text{Adv}_{\Pi}^{\text{nae}}(\mathcal{A}) = \Pr[\mathcal{A}^{\mathcal{E}_K \mathcal{D}_K} \rightarrow 1] - \Pr[\mathcal{A}^{\$ \perp} \rightarrow 1]$$

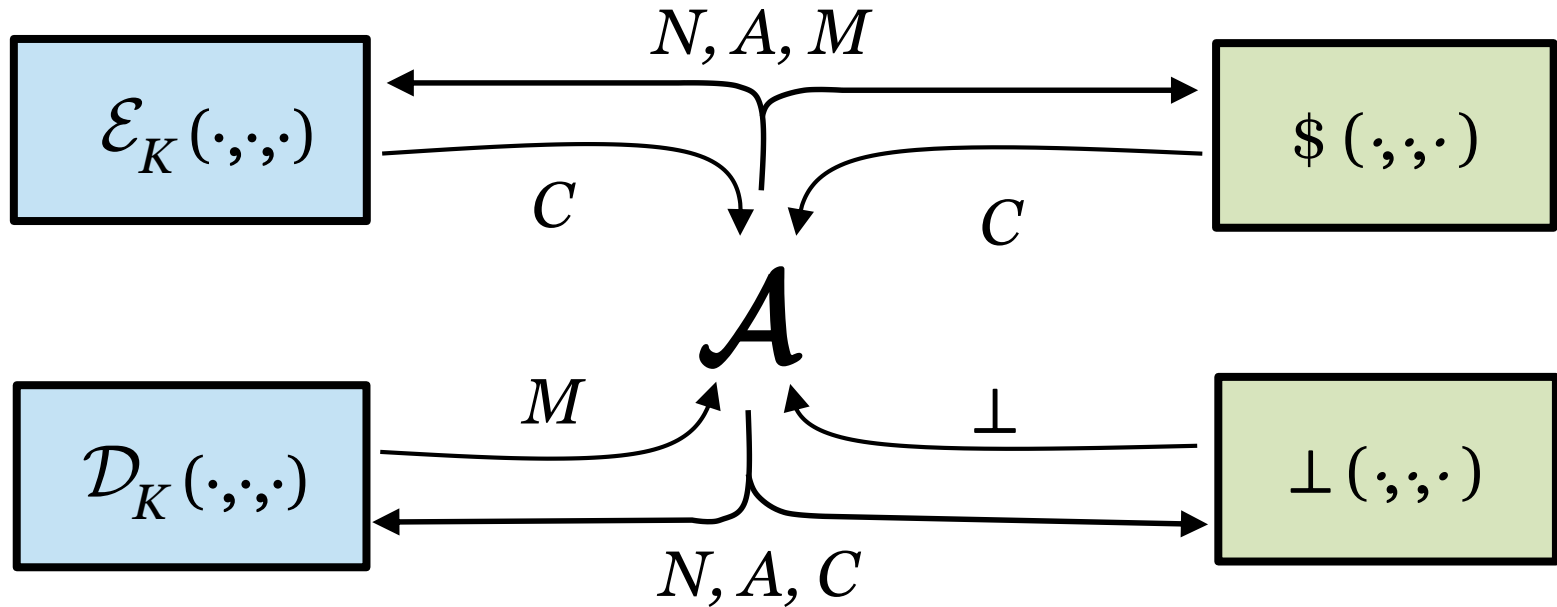
\mathcal{A} may not

- Repeat an N in an Enc query
- Ask a Dec query (N, A, C) after C is returned by an (N, A, \cdot) Enc query

nAE: Assumptions



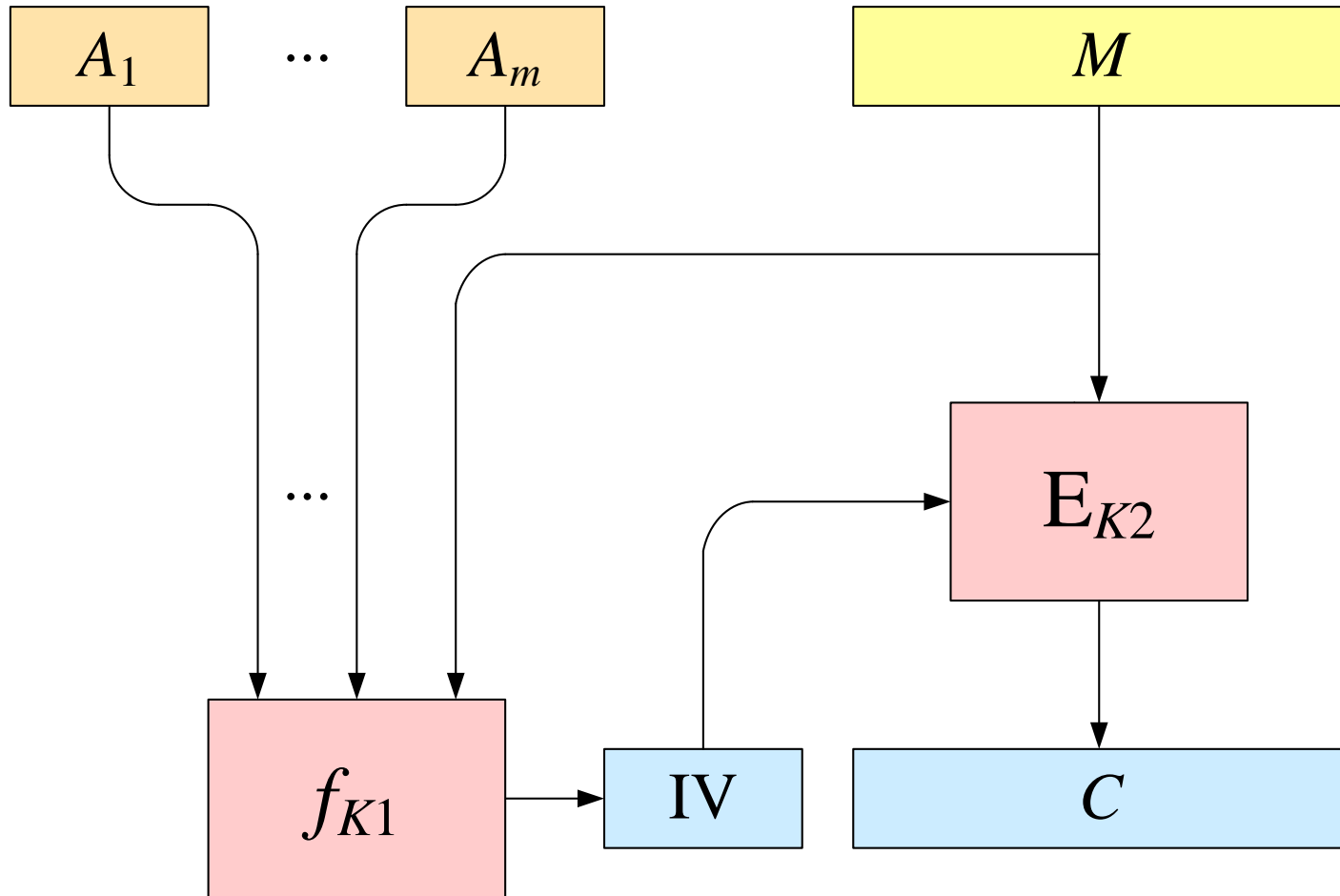
1. Atomicity of M
2. Atomicity of C
3. OK to demand non-repeating N



$$\text{Adv}_{\Pi}^{\text{mrae}}(\mathcal{A}) = \Pr[\mathcal{A}^{\mathcal{E}_K \mathcal{D}_K} \rightarrow 1] - \Pr[\mathcal{A}^{\$ \perp} \rightarrow 1]$$

- \mathcal{A} may not:
- Repeat an $\text{Enc}(N, A, M)$ query
 - Ask $\text{Dec}(N, A, C)$ after C is returned by an $\text{Enc}(N, A, \cdot)$ query
- If N repeats:
- authenticity is **undamaged**
 - privacy is damaged to the extent that's **unavoidable**

MRAE schemes can't be online



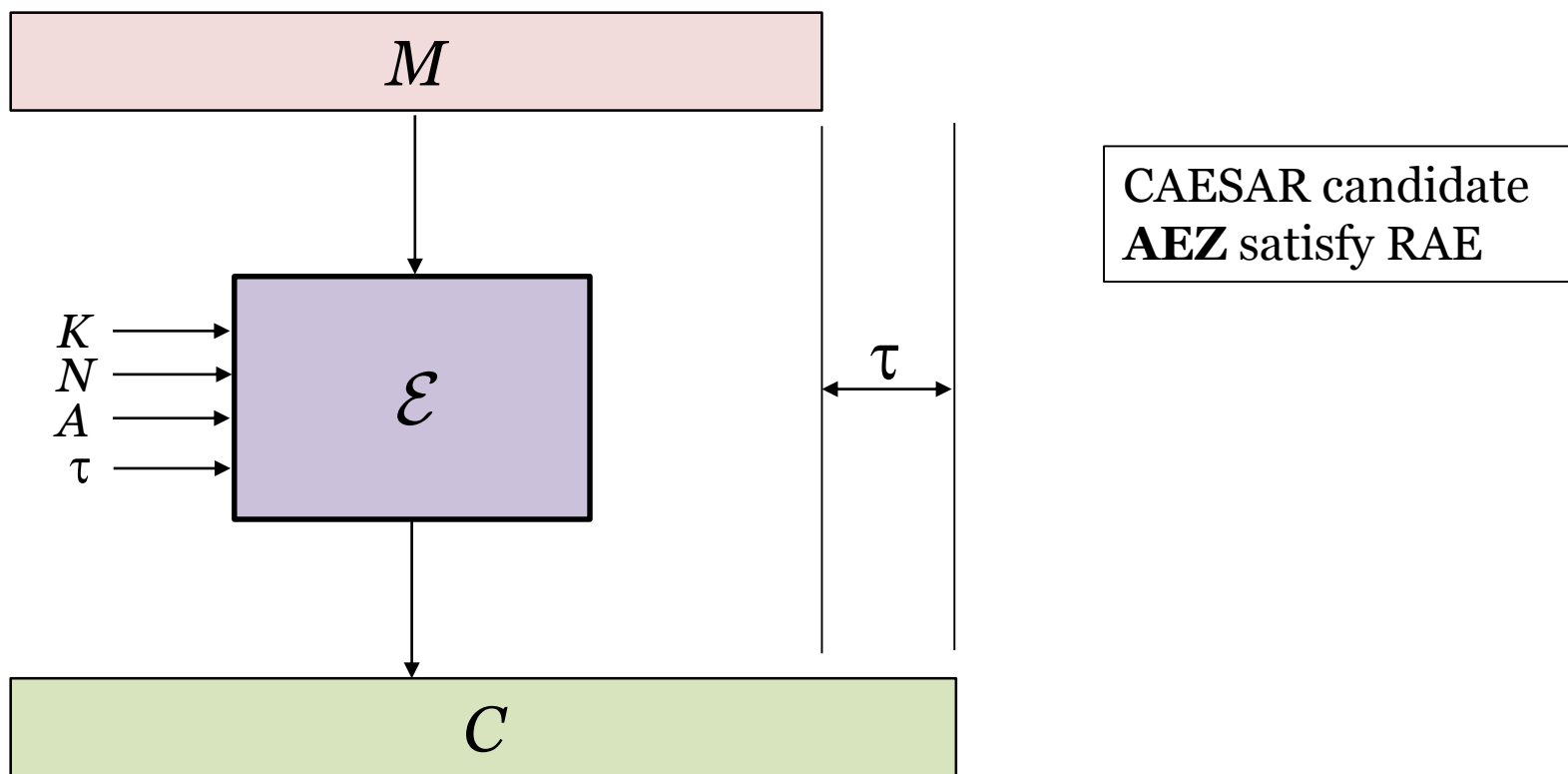
MRAE

CAESAR candidates that satisfy **MRAE**:

- **AES-CMCC**
- **HS1-SIV**
- **Joltik v1.3** (has an MRAE mode)
- **Deoxys v1.3** (has an MRAE mode)

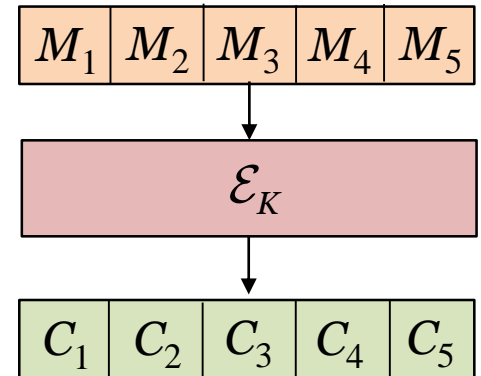
RAE is a traditional AE notion, with atomic M and C .

What is new compared to MRAE is only that the user supplies τ , and it can be arbitrary.



Fix some n . Let $B_n = \{0,1\}^n =$ all possible blocks.
Let $B_n^* =$ all strings of blocks.

A **multiple-of- n cipher** is a map $\mathcal{E}: \mathcal{K} \times B_n^* \rightarrow B_n^*$ where $\mathcal{E}(K, \cdot)$ is a length-preserving permutation for each $K \in \mathcal{K}$.



OPerm[n] = all multiple-of- n ciphers π where the i -th block of $\pi(X)$ depends only on the first i blocks of X .

Good online cipher: multiple-of- n cipher \mathcal{E} where $\mathcal{E}(K, \cdot)$ is indistinguishable from $\pi \leftarrow \text{OPerm}[n]$

FFL's syntax for AE

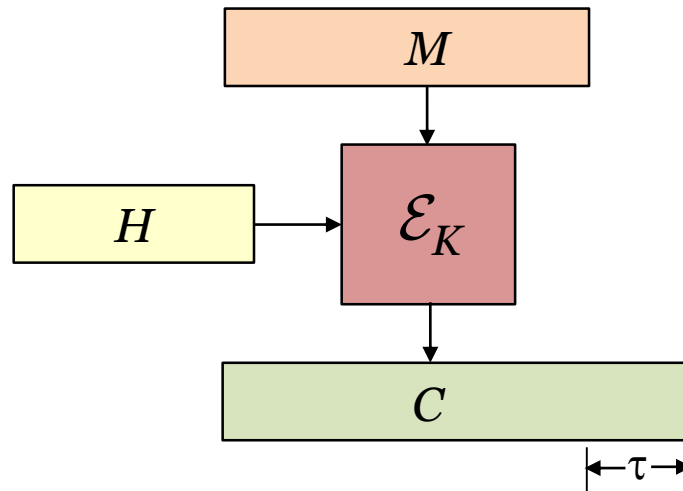
Fix some n .

A **multiple-of- n AE scheme** is a triple $\Pi = (\mathcal{K}, \mathcal{E}, \mathcal{D})$ with

$$\mathcal{E}: \mathcal{K} \times \mathcal{H} \times \mathcal{M} \rightarrow \{0,1\}^*$$

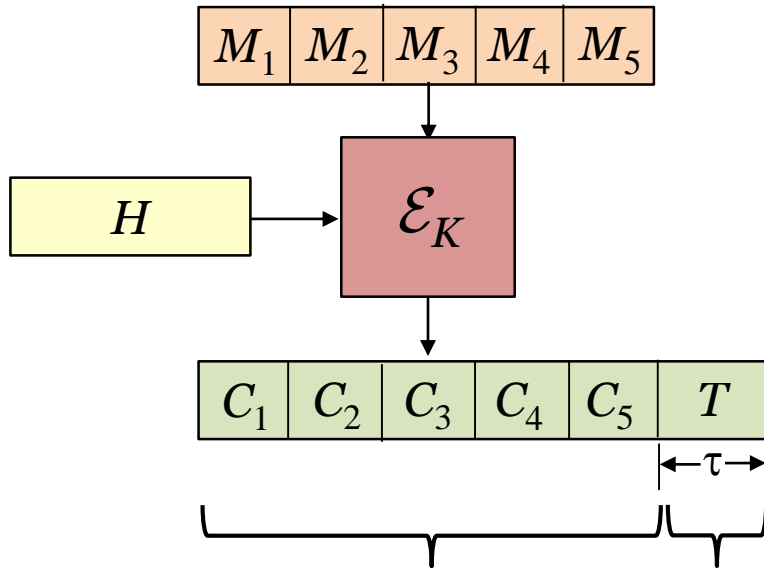
$$\mathcal{D}: \mathcal{K} \times \mathcal{H} \times \{0,1\}^* \rightarrow \mathcal{M} \cup \{\perp\}$$

with $\mathcal{M} = B_n^*$ and the decryptability condition.



Assume $|C| = |M| + \tau$

FFL definition: OAE1



Privacy
(corrected from FFL)

This part is like an online cipher for each H

This part is like a bunch of random bits

+Authenticity
Unforgeability

FFL definition: OAE1

```
proc initialize
```

```
 $K \leftarrow \mathcal{K}$ 
```

```
proc Enc( $H, M$ )
```

```
if  $H \notin \mathcal{H}$  or  $M \notin \mathcal{B}_n^*$  then
```

```
return  $\perp$ 
```

```
return  $\mathcal{E}(K, H, M)$ 
```

```
proc Dec( $H, C$ )
```

```
if  $H \notin \mathcal{H}$  then return  $\perp$ 
```

```
return  $\mathcal{D}(K, H, C)$ 
```

```
proc initialize
```

```
for  $H \in \mathcal{H}$  do  $\pi_H \leftarrow \text{OPerm}[n]$ 
```

```
for  $(H, M) \in \mathcal{H} \times \mathcal{B}_n^*$  do  $R_{H,M} \leftarrow \{0, 1\}^\tau$ 
```

```
proc Enc( $H, M$ )
```

```
if  $H \notin \mathcal{H}$  or  $M \notin \mathcal{B}_n^*$  then return  $\perp$ 
```

```
return  $\pi_H(M) \parallel R_{H,M}$ 
```

```
proc Dec( $H, C$ )
```

```
return  $\perp$ 
```

A

Def: a multiple-of- n AE scheme Π is OAE1-secure if

$$\text{Adv}_{\Pi}^{\text{oe1}}(\mathcal{A}) = \Pr[\mathcal{A}^{\text{Left}} \rightarrow 1] - \Pr[\mathcal{A}^{\text{Right}} \rightarrow 1]$$

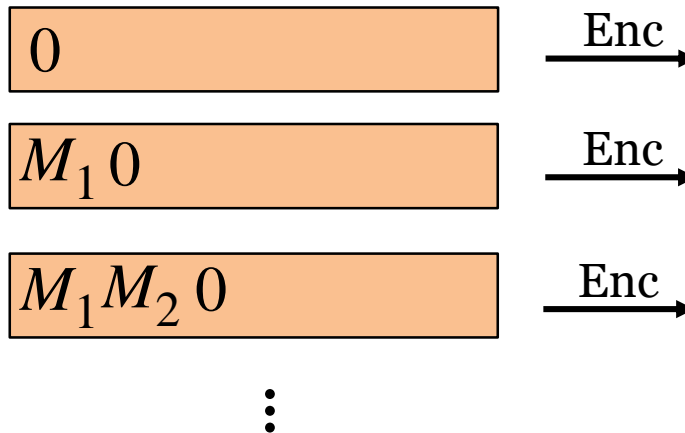
is “small” for “reasonable” adversaries \mathcal{A} .

Not allowed to ask $\text{Dec}(H, C)$
after $\text{Enc}(H, M)$ returns C

OAE1 is weak: the “trivial attack”

- LCP[n]: C_i only depends on $K, H, M_1 \dots M_i$
- Want to decrypt $C = \mathcal{E}(K, H, M)$
- Assume: an oracle that encrypts with K, H

Eg: $n=1$



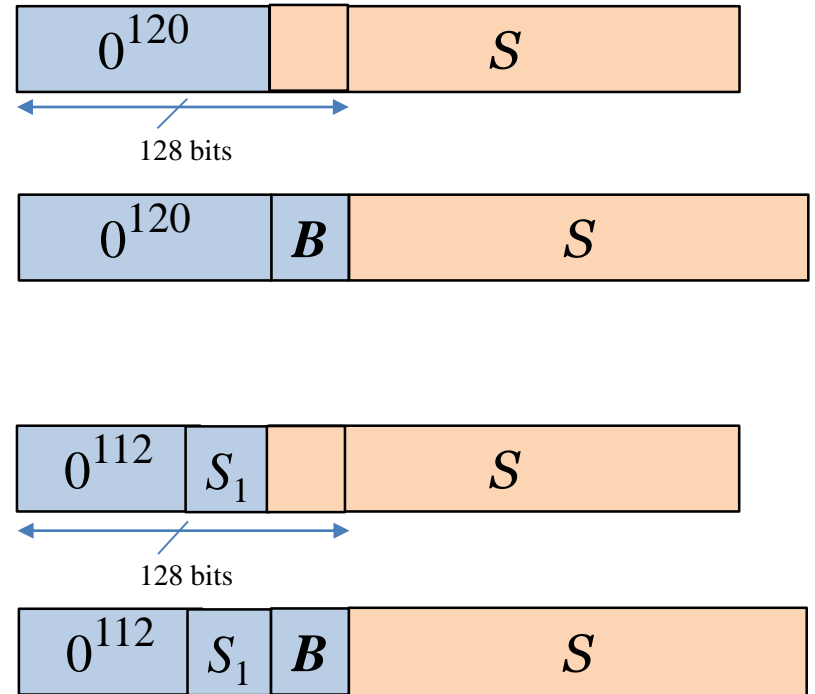
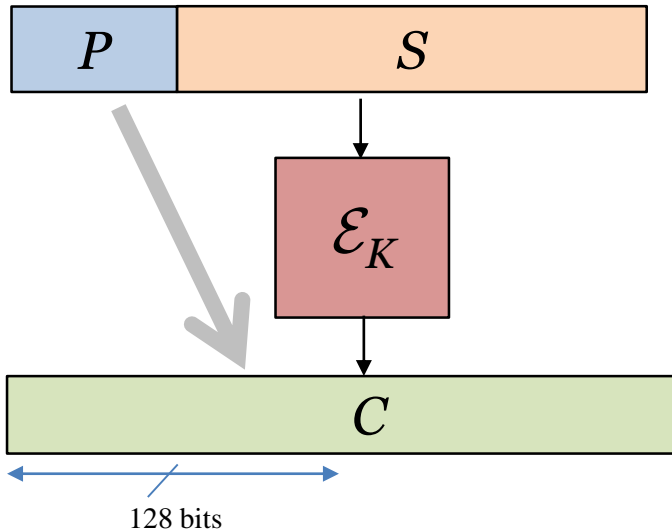
$m = |C|$ encryption queries to recover M

In general, $\frac{m}{n} (2^n - 1)$ queries to recover M

- OAE1 is quite insecure for small n
- Crucial to identify n when speaking of security

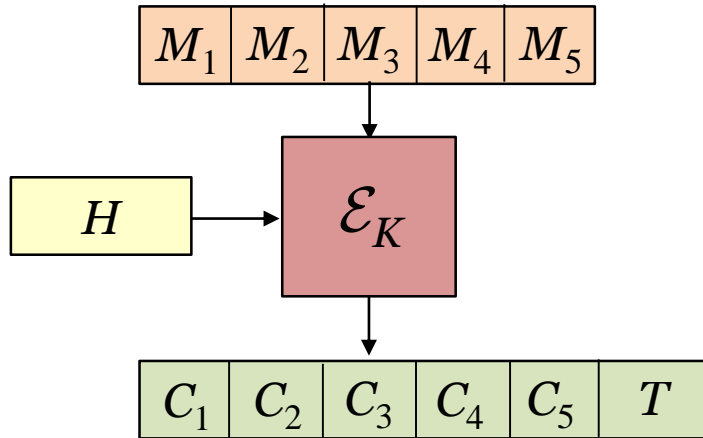
OAE1 is weak: the CPSS attack

Assume LCP[n] (say $n=128$)



chosen-prefix/secret-suffix
(any byte string) (want to learn it)

But the real problem isn't these attacks. It's a failure to capture the underlying goal.



1. **Blocksize n should be a user-selectable value, not a scheme-dependent constant.**

It arises from a resource constraint of a user. It shouldn't be related to an implementing technology.

2. **Security needs to be defined for strings of all lengths, not just multiples-of- n .**

Saying one will pad begs the question.

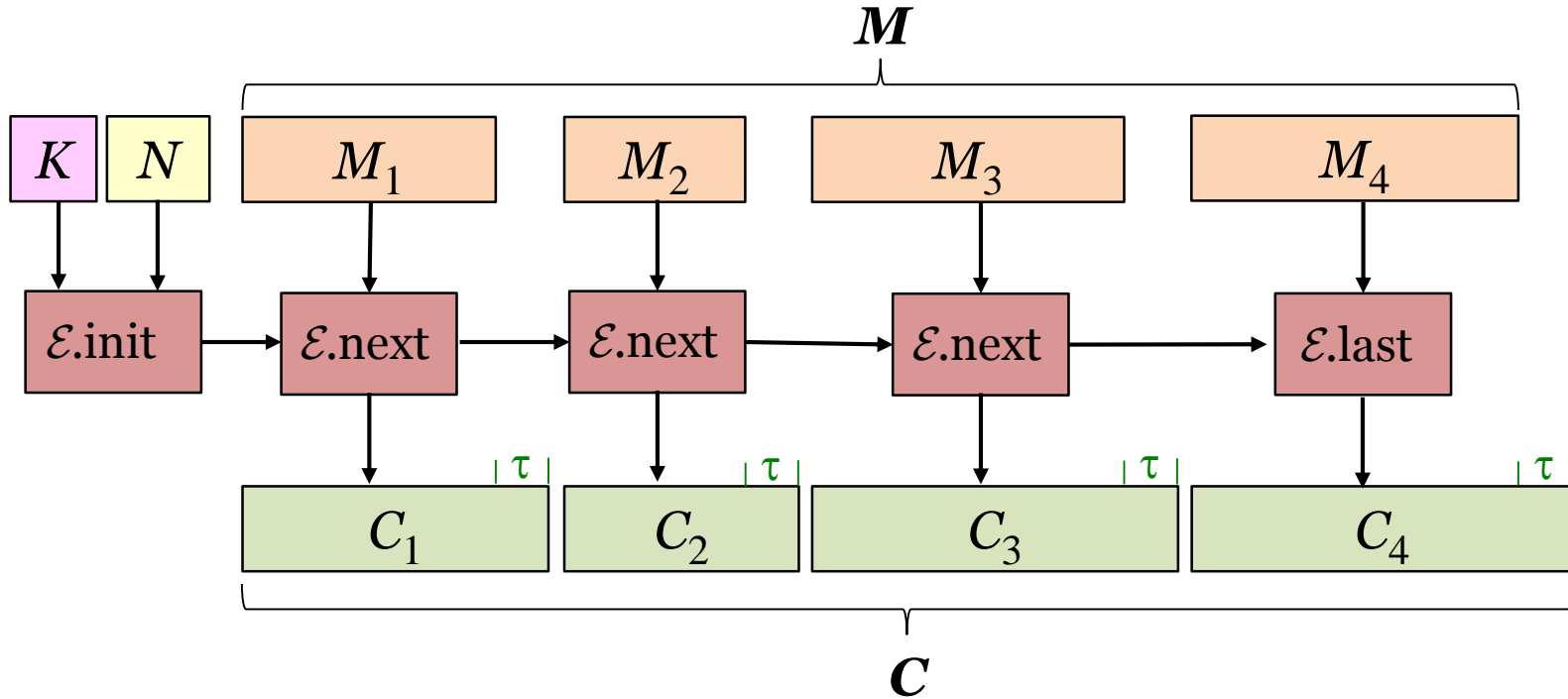
3. **Decryption too should be online** *How useful is it to have online-encryption if the receiver has to buffer the entire ciphertext?*

4. **The reference object is not ideal.** *Why an online cipher followed by random bits? We could do better with a different reference object.*

Towards OAE2

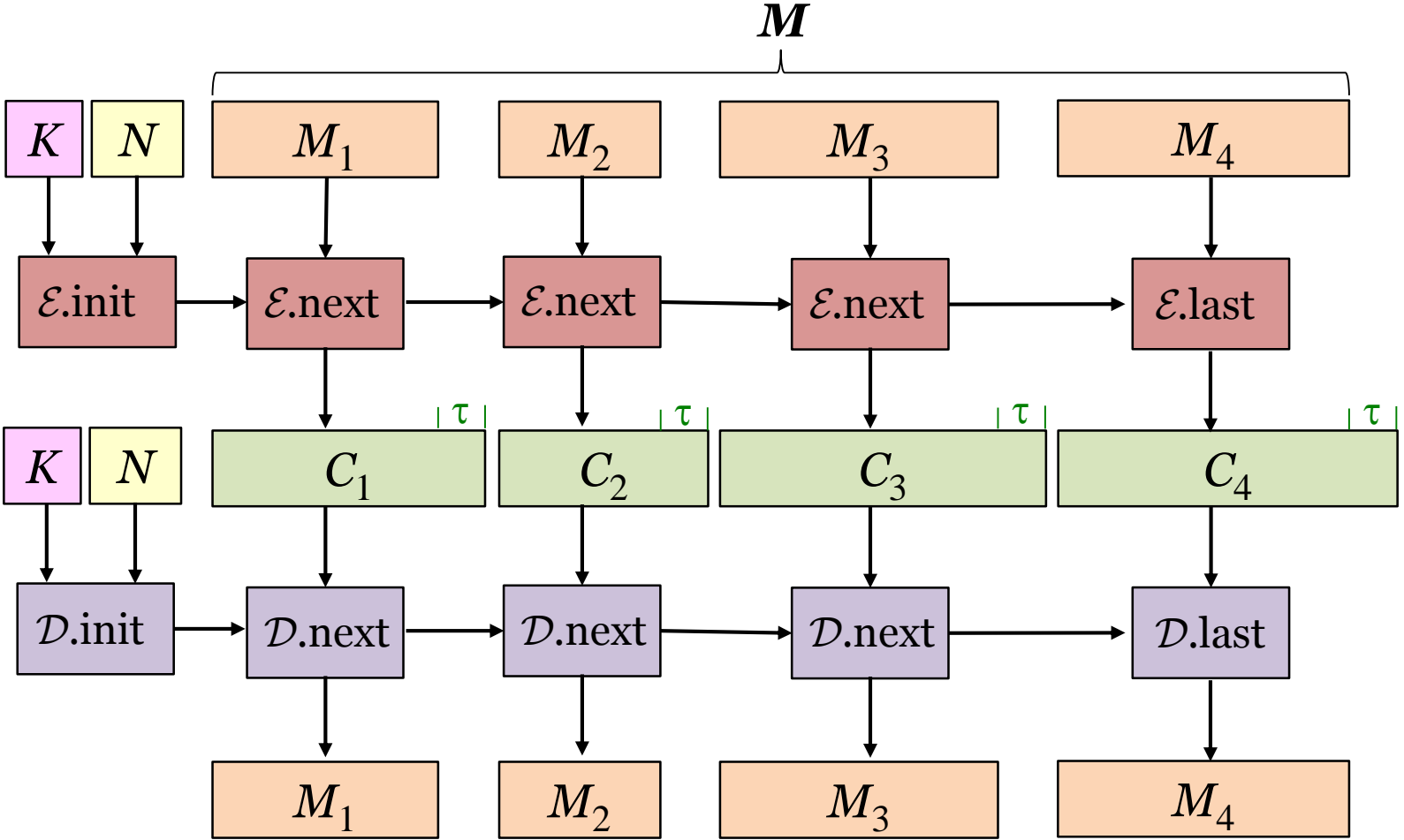
User-selectable segmentation

[Tsang, Solomakhin, Smith 2009]
[Bertoni, Daemen, Peeters, Van Assche 2010/2012]



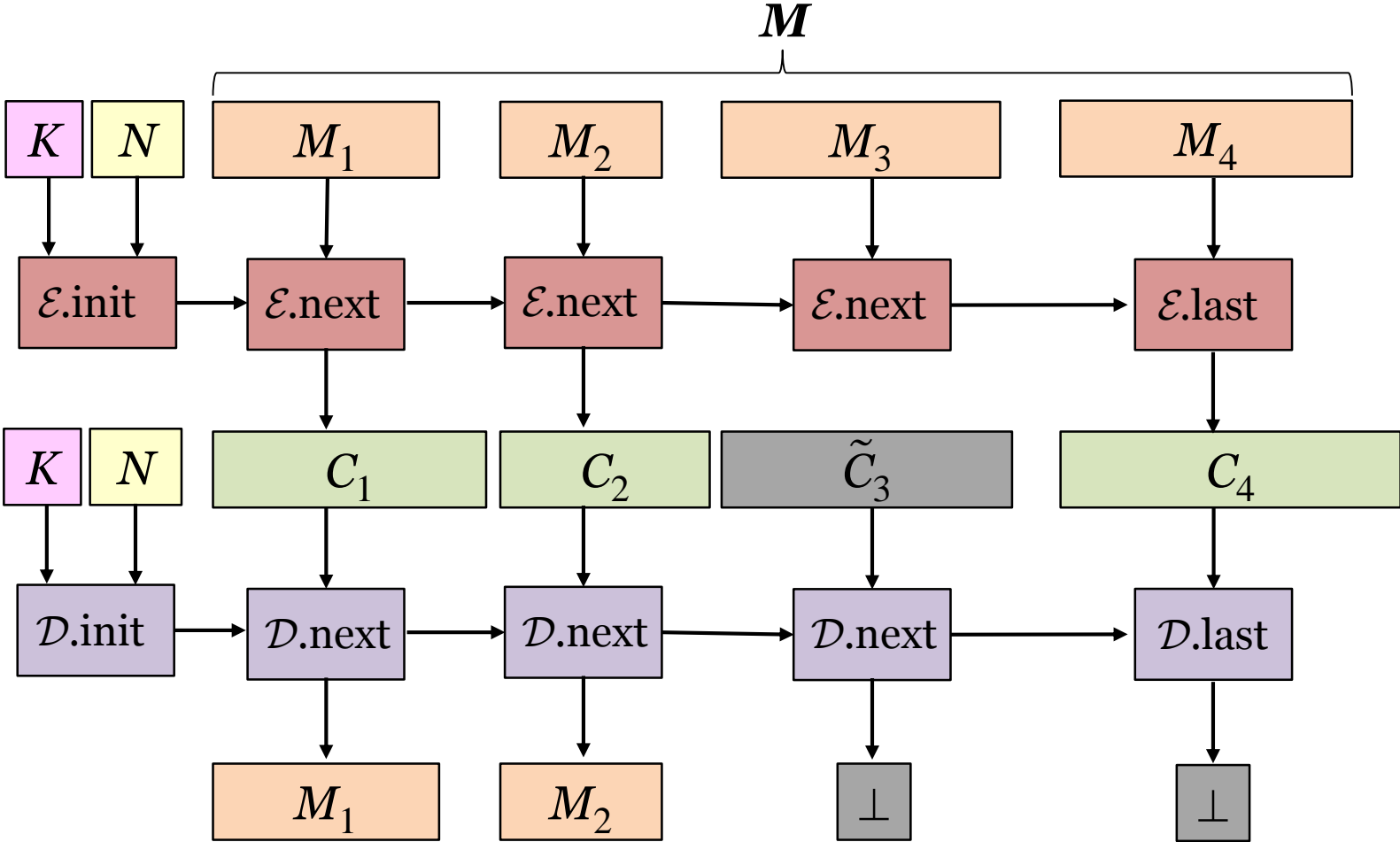
Towards OAE2

User-selectable segmentation



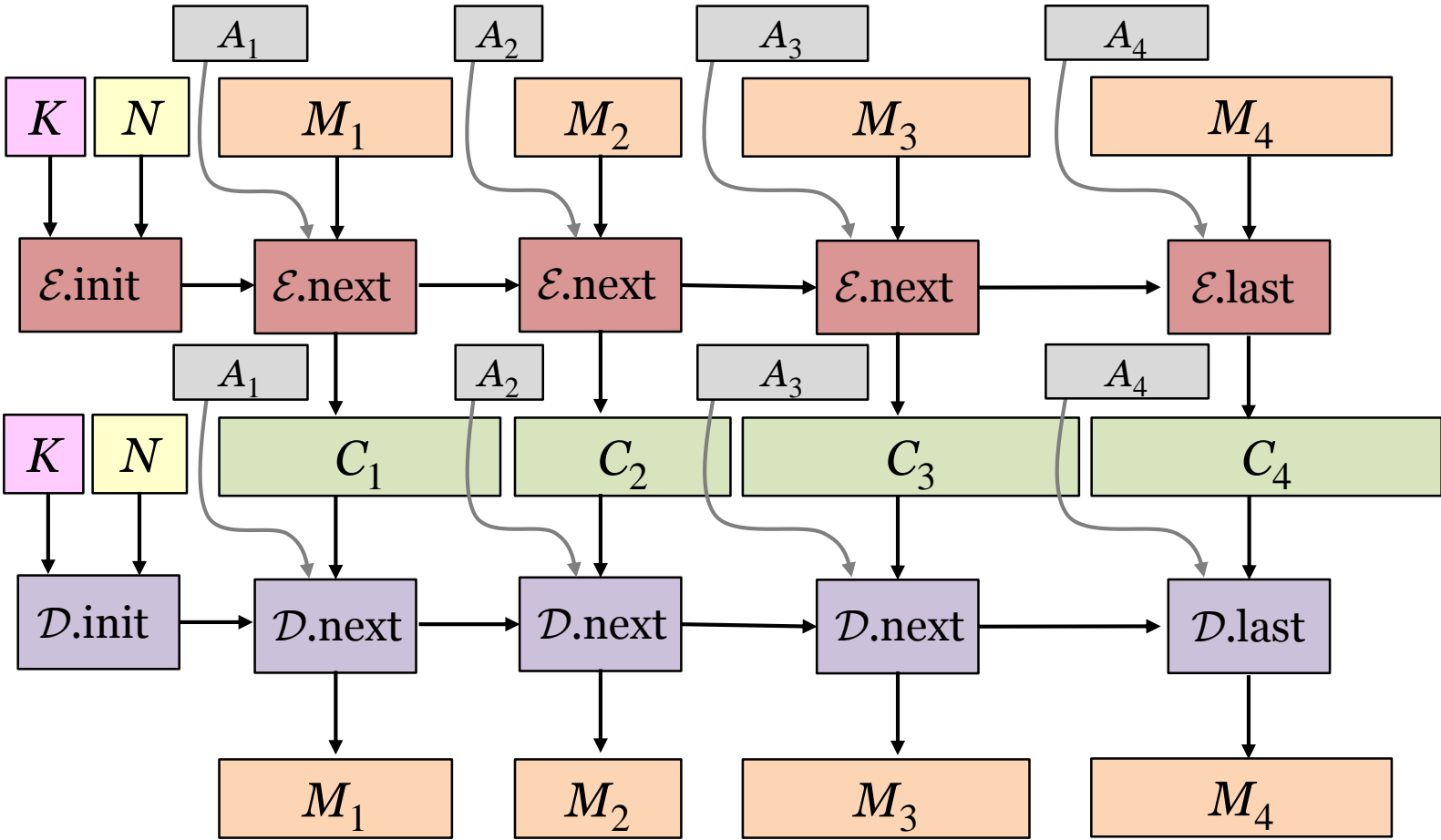
Towards OAE2

User-selectable segmentation



Towards OAE2

User-selectable segmentation



Towards OAE2

Syntax

Def: A **segmented-AE scheme** is a tuple $\Pi=(\mathcal{K},\mathcal{E},\mathcal{D})$ where

\mathcal{K} is a distribution on strings and

$\mathcal{E} = (\mathcal{E}.init, \mathcal{E}.next, \mathcal{E}.last)$ and

$\mathcal{D}=(\mathcal{D}.init, \mathcal{D}.next, \mathcal{D}.last)$

are triples of deterministic algorithms:

$$\mathcal{E}.init: \mathcal{K} \times \mathcal{N} \rightarrow \mathcal{S}$$

$$\mathcal{E}.next: \mathcal{S} \times \mathcal{A} \times \mathcal{M} \rightarrow \mathcal{C} \times \mathcal{S}$$

$$\mathcal{E}.last: \mathcal{S} \times \mathcal{A} \times \mathcal{M} \rightarrow \mathcal{C}$$

$$\mathcal{D}.init: \mathcal{K} \times \mathcal{N} \rightarrow \mathcal{S}$$

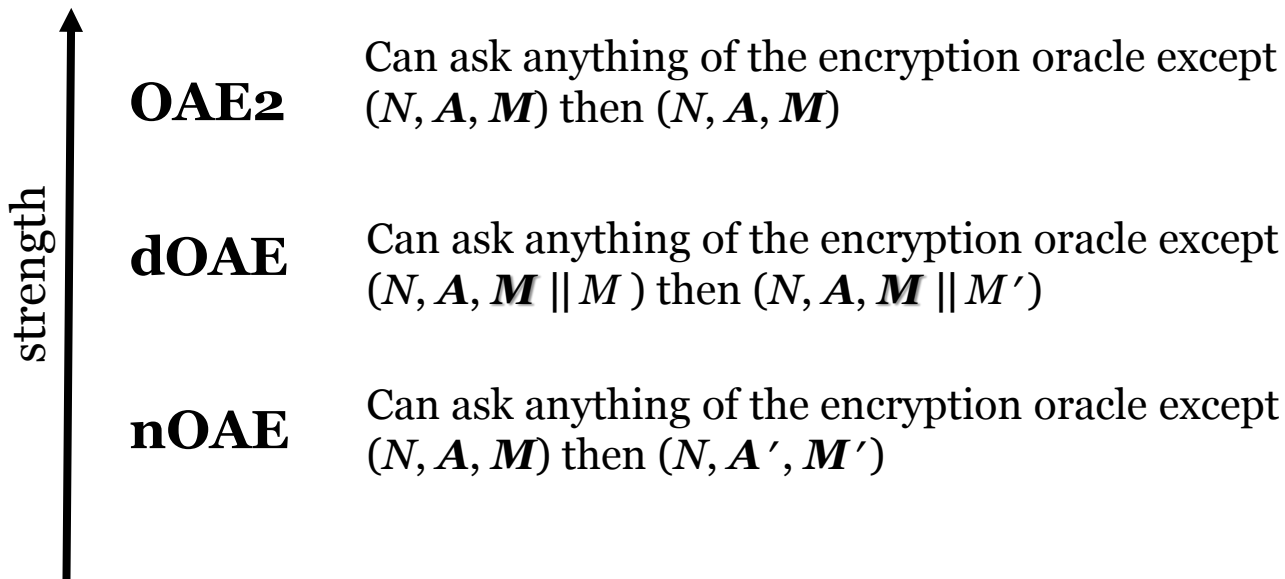
$$\mathcal{D}.next: \mathcal{S} \times \mathcal{A} \times \mathcal{C} \rightarrow (\mathcal{M} \times \mathcal{S}) \cup \{\perp\}$$

$$\mathcal{D}.last: \mathcal{S} \times \mathcal{A} \times \mathcal{C} \rightarrow \mathcal{M} \cup \{\perp\}$$

$$\mathcal{A} = \mathcal{M} = \mathcal{C} = \{0,1\}^* \quad \mathcal{N} \subseteq \{0,1\}^*$$

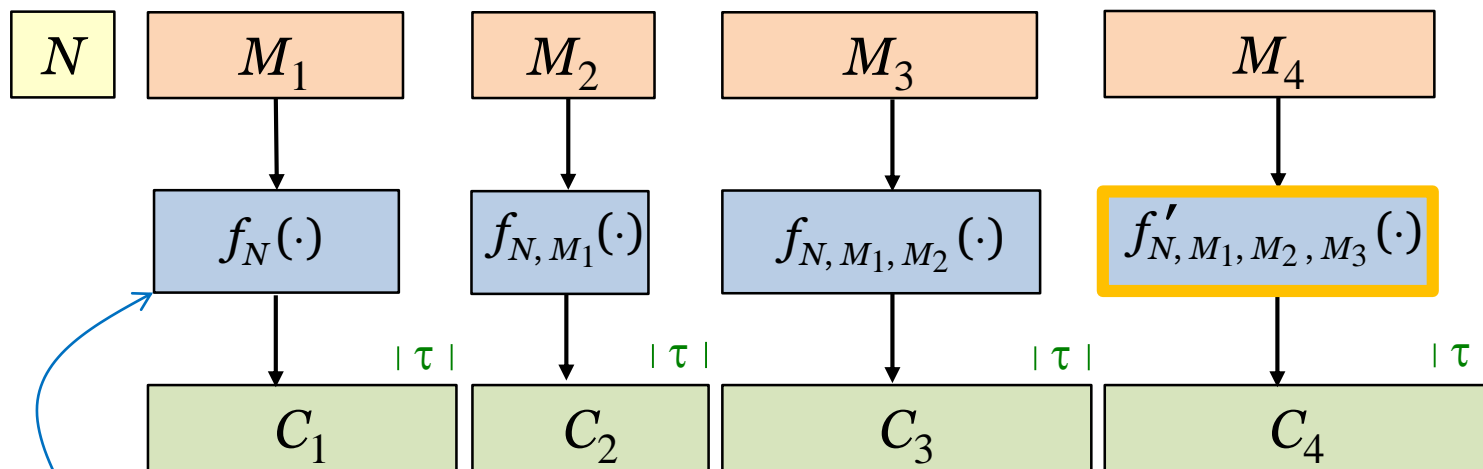
Formulating security

- **OAE2**: basic notion: best-possible security even if nonces get reused.
- **dOAE**: intermediate notion adapted from “Dupexing the Sponge” paper of [Bertoni, Daemen, Peeters, Van Assche 2010/2012]
- **nOAE**: weakening: equivalent in the cases that nonces are *not* reused.



Towards OAE2

Ideal behavior

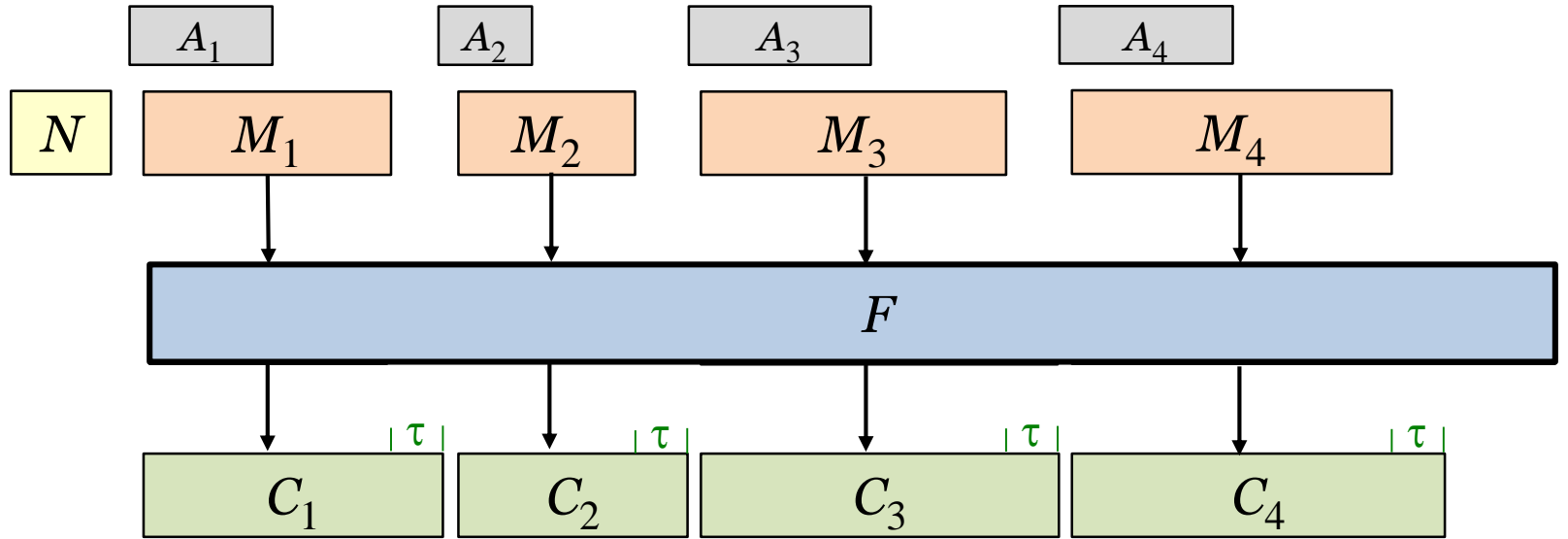


Random τ -expanding
injective function tweaked
by the subscript

**For AD: add in the A_i to
each subscript**

Towards OAE2

Ideal behavior



$$F(N, \mathbf{A}, \mathbf{M}, \delta) \mapsto \mathbf{C}$$

$$F \leftarrow \text{IdealOAE}[\tau]$$

```

for  $m \in \mathbb{Z}^+$ ,  $N \in \{0, 1\}^*$ ,  $\mathbf{A} \in (\{0, 1\}^*)^m$ ,  $\mathbf{M} \in (\{0, 1\}^*)^{m-1}$  do
   $f_{N, \mathbf{A}, \mathbf{M}, 0} \leftarrow \text{Inj}(\tau)$ ;  $f_{N, \mathbf{A}, \mathbf{M}, 1} \leftarrow \text{Inj}(\tau)$ 
for  $m \in \mathbb{Z}^+$ ,  $\mathbf{A} \in (\{0, 1\}^*)^m$ ,  $\mathbf{X} \in (\{0, 1\}^*)^m$ ,  $\delta \in \{0, 1\}$  do
   $F(N, \mathbf{A}, \mathbf{X}, \delta) \leftarrow (f_{N, \mathbf{A}[1..1], \mathbf{A}, 0}(\mathbf{X}[1]), f_{N, \mathbf{A}[1..2], \mathbf{X}[1..1], 0}(\mathbf{X}[2]), f_{N, \mathbf{A}[1..3], \mathbf{X}[1..2], 0}(\mathbf{X}[3]), \dots,$ 
     $f_{N, \mathbf{A}[1..m-1], \mathbf{X}[1..m-2], 0}(\mathbf{X}[m-1]), f_{N, \mathbf{A}[1..m], \mathbf{X}[1..m-1], \delta}(\mathbf{X}[m]))$ 
return  $F$ 
  
```

Formalizing OAE2

```
proc initialize  
   $K \leftarrow \mathcal{K}$ 
```

```
proc Enc( $N, A, M$ )  
  if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
  return  $\mathcal{E}(K, N, A, M)$ 
```

```
proc Dec( $N, A, C$ )  
  if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
  return  $\mathcal{D}(K, N, A, C)$ 
```

```
proc initialize  
   $F \leftarrow \text{IdealOAE}(\tau)$ 
```

```
proc Enc( $N, A, M$ )  
  if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
  return  $F(N, A, M, 1)$ 
```

```
proc Dec( $N, A, C$ )  
  if  $N \notin \mathcal{N}$  or  $|A| \neq |M|$  then return  $\perp$   
  if  $\exists M$  s.t.  $F(N, A, M, 1) = C$  then return  $M$   
   $M \leftarrow$  the longest vector in  
   $\{M : F(N, A, M, 0)[i] = C[i] \text{ for } i \in [1..|M| - 1]\}$   
  return  $M$ 
```

The adversary \mathcal{A} should be unable to distinguish the **green** and **blue** games

Three formulations of OAE₂



Why?

- Very different approaches \rightarrow *essentially equivalent* definitions
- Clarify the *extent* to which they are equivalent

OAE_{2a} – [The definition I just sketched..](#)

Conceptually simplest.

Meant to formalize best *possible security*:

fix τ and ask how well can you do.

OAE_{2b} – Tighter definition: model adversary's ability to ask incremental queries.

Grow chains instead of asking vector-valued queries.

OAE_{2c} – Easiest to work with,
measures distance from random bits.
Aspirational – only works for “large” τ .
Illustrates why τ ought to be large.

proc initialize

$I, J \leftarrow 0$; $K \leftarrow \mathcal{K}$

proc Enc.init(N)
 if $N \notin \mathcal{N}$ then return \perp
 $I \leftarrow I + 1$; $S_I \leftarrow \mathcal{E}.init(K, N)$
 return I

proc Enc.next(i, A, M)
 if $i \notin [1..I]$ or $S_i = \perp$ then return \perp
 $(C, S_i) \leftarrow \mathcal{E}.next(S_i, A, M)$
 return C

proc Enc.last(i, A, M)
 if $i \notin [1..I]$ or $S_i = \perp$ then return \perp
 $C \leftarrow \mathcal{E}.last(S_i, A, M)$
 $S_i \leftarrow \perp$; return C

proc Dec.init(N)
 if $N \notin \mathcal{N}$ then return \perp
 $J \leftarrow J + 1$; $S'_J \leftarrow \mathcal{D}.init(K, N)$
 return J

proc Dec.next(j, A, C)
 if $j \notin [1..J]$ or $S'_j = \perp$ then return \perp
 $(M, S'_j) \leftarrow \mathcal{D}.next(S'_j, A, C)$
 return M

proc Dec.last(j, A, C)
 if $j \notin [1..J]$ or $S'_j = \perp$ then return \perp
 $M \leftarrow \mathcal{D}.last(S'_j, A, C)$
 $S'_j \leftarrow \perp$
 return M

A

proc initialize

$I, J \leftarrow 0$; $F \leftarrow \text{IdealOAE}(\tau)$

proc Enc.init(N)
 if $N \notin \mathcal{N}$ then return \perp
 $I \leftarrow I + 1$; $N_I \leftarrow N$; $A_I \leftarrow A$; $M_I \leftarrow A$
 return I

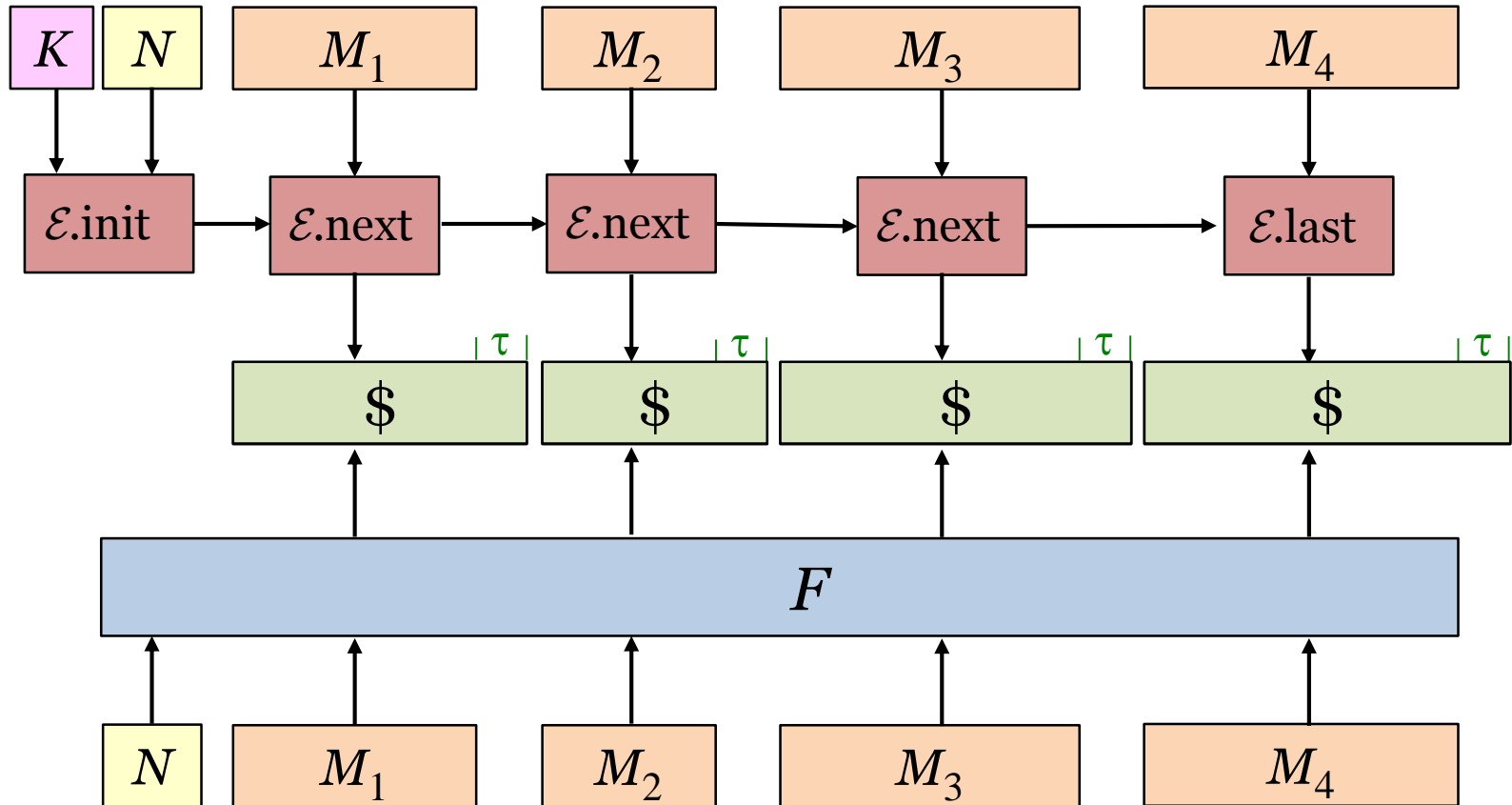
proc Enc.next(i, A, M)
 if $i \notin [1..I]$ or $M_i = \perp$ then return \perp
 $A_i \leftarrow A_i \parallel A$; $M_i \leftarrow M_i \parallel M$; $m \leftarrow |M_i|$
 $C \leftarrow F(N_i, A_i, M_i, 0)$; return $C[m]$

proc Enc.last(i, A, M)
 if $i \notin [1..I]$ or $M_i = \perp$ then return \perp
 $A_i \leftarrow A_i \parallel A$; $M_i \leftarrow M_i \parallel M$; $m \leftarrow |M_i|$
 $C \leftarrow F(N_i, A_i, M_i, 1)$; $M_i \leftarrow \perp$; return $C[m]$

proc Dec.init(N)
 if $N \notin \mathcal{N}$ then return \perp
 $J \leftarrow J + 1$; $N'_J \leftarrow N$; $A'_J \leftarrow A$; $C_J \leftarrow A$
 return J

proc Dec.next(j, A, C)
 if $j \notin [1..J]$ or $C_j = \perp$ then return \perp
 $A'_j \leftarrow A_j \parallel A$; $C_j \leftarrow C_j \parallel C$; $m \leftarrow |C_j|$
 if $\exists M$ s.t. $F(N'_j, A'_j, M, 0) = C_j$
 then return $M[m]$
 else $C_j \leftarrow \perp$; return \perp ; fi

proc Dec.last(j, A, C)
 if $j \notin [1..J]$ or $C_j = \perp$ then return \perp
 $A'_j \leftarrow A \parallel A$; $C_j \leftarrow C_j \parallel C$; $m \leftarrow |C_j|$
 if $\exists M$ s.t. $F(N'_j, A'_j, M, 1) = C_j$
 then $C_j \leftarrow \perp$; return $M[m]$
 else $C_j \leftarrow \perp$; return \perp fi



proc initialize

$I \leftarrow 0$; $K \leftarrow \mathcal{K}$
 $\mathcal{Z} \leftarrow \emptyset$

proc Enc.init(N)

if $N \notin \mathcal{N}$ then return \perp
 $I \leftarrow I + 1$; $S_I \leftarrow \mathcal{E}.init(K, N)$
 $N_I \leftarrow N$; $A_I \leftarrow M_I \leftarrow C_I \leftarrow \Lambda$
 return I

proc Enc.next(i, A, M)

if $i \notin [1..I]$ or $S_i = \perp$ then return \perp
 $(C, S_i) \leftarrow \mathcal{E}.next(S_i, A, M)$
 $A_i \leftarrow A_i \parallel A$; $M_i \leftarrow M_i \parallel M$; $C_i \leftarrow C_i \parallel C$
 $\mathcal{Z} \leftarrow \mathcal{Z} \cup \{(N_i, A_i, C_i, 0)\}$
 return C

proc Enc.last(i, A, M)

if $i \notin [1..I]$ or $S_i = \perp$ then return \perp
 $C \leftarrow \mathcal{E}.last(S_i, A, M)$; $S_i \leftarrow \perp$
 $A_i \leftarrow A_i \parallel A$; $M_i \leftarrow M_i \parallel M$; $C_i \leftarrow C_i \parallel C$
 $\mathcal{Z} \leftarrow \mathcal{Z} \cup \{(N_i, A_i, C_i, 1)\}$
 return C

\mathcal{A}

proc initialize

$I \leftarrow 0$
 $E(x) \leftarrow \text{undef}$ for all x

proc Enc.init(N)

if $N \notin \mathcal{N}$ then return \perp
 $I \leftarrow I + 1$
 $N_I \leftarrow N$; $A_i \leftarrow M_i \leftarrow \Lambda$
 return I

proc Enc.next(i, A, M)

if $i \notin [1..I]$ or $N_i = \perp$ then return \perp
 $A_i \leftarrow A_i \parallel A$; $M_i \leftarrow M_i \parallel M$
 if $E(N_i, A_i, M_i, 0) = \text{undef}$
 then $E(N_i, A_i, M_i, 0) \leftarrow \{0, 1\}^{|M|+\tau}$
 $C \leftarrow E(N_i, A_i, M_i, 0)$; return C

proc Enc.last(i, A, M)

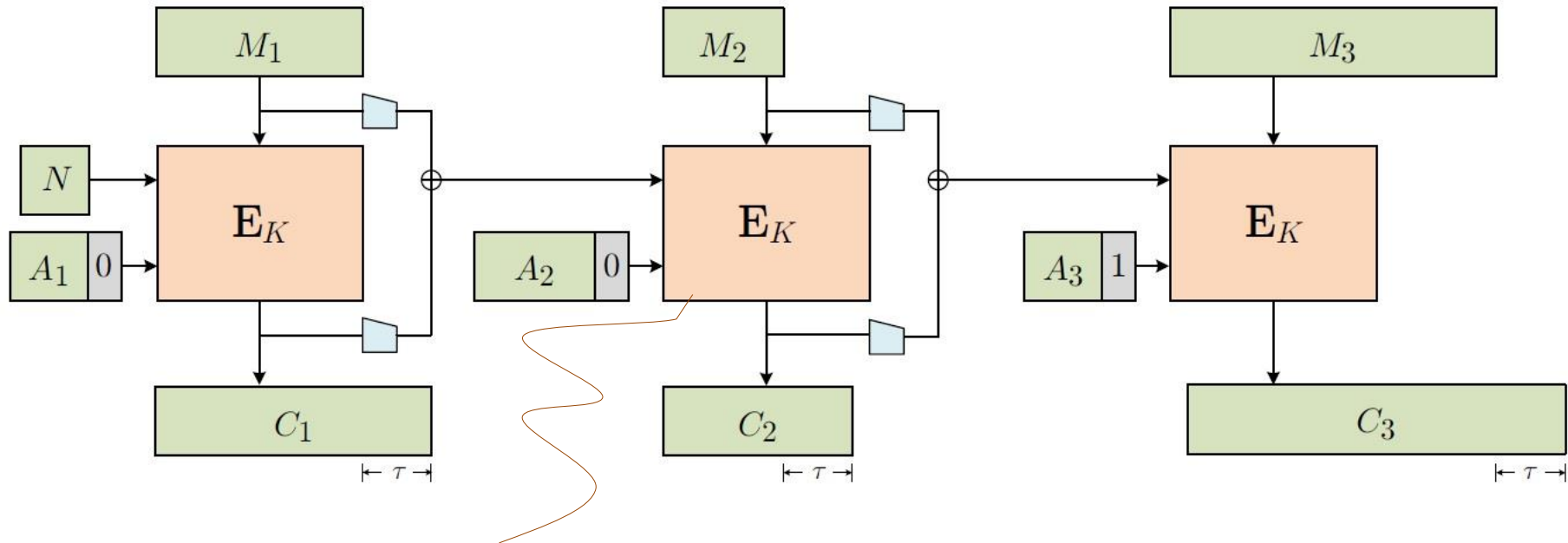
if $i \notin [1..I]$ or $N_i = \perp$ then return \perp
 $A_i \leftarrow A_i \parallel A$; $M_i \leftarrow M_i \parallel M$
 if $E(N_i, A_i, M_i, 1) = \text{undef}$
 then $E(N_i, A_i, M_i, 1) \leftarrow \{0, 1\}^{|M|+\tau}$
 $C \leftarrow E(N_i, A_i, M_i, 1)$; $N_i \leftarrow \perp$; return C

proc finalize (N, A, C, b)

if $|A| \neq |C|$ or $|A| = 0$ or $(N, A, C, b) \in \mathcal{Z}$ then return false
 $S \leftarrow \mathcal{D}.init(K, N)$; $m \leftarrow |C|$
 for $i \leftarrow 1$ to $m - b$ do
 $(M, S) \leftarrow \mathcal{D}.next(S, A[i], C[i])$
 if $M = \perp$ then return false
 if $b = 1$ and $\mathcal{D}.last(S, A[m], C[m]) = \perp$ then return false
 return true

Achieving OAE2

The CHAIN construction

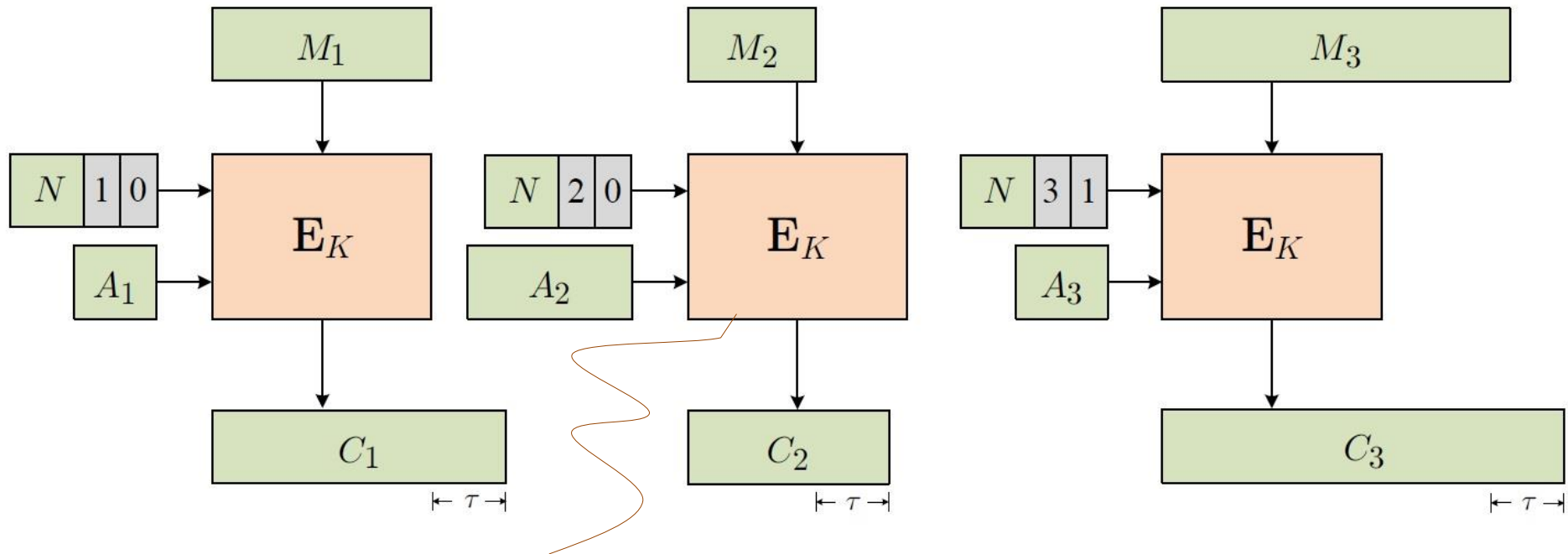


An **MRAE** scheme for large τ ;
an **RAE** scheme for general τ

Why can't one use an **nAE** scheme?
OAE2 degenerates to **MRAE** when
there's one segment and large τ ; and a
strong PRP with one segment and $\tau=0$

Achieving nOAE2

The STREAM construction



An nAE scheme

Achieves the (weaker) nOAE notion.
Roughly what's done in the Netflix protocol.

Conclusions, suggestions, puzzles

- OAE should never have been about nonce-reuse MR. Historical artifact.
- Beware of the escalation of rhetoric. [FFL12] was circumspect in what they promised of OAE1. Soon morphed into claims as strong as OAE1 schemes being “nonce-free”.
- How does an immature definition quickly become the definitional target for so much constructive work?