

# On the Optimization of Bipartite Secret Sharing Schemes

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Seminar MAS-SPMS-NTU, Singapore, January 2010

# How to Share a Secret

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A simple and brilliant idea by **Shamir**, 1979

Let  $\mathbb{K}$  be a finite field with  $|\mathbb{K}| \geq n + 1$

To share a **secret value**  $k \in \mathbb{K}$ , take a random polynomial

$$f(x) = k + a_1x + \dots + a_{t-1}x^{t-1} \in \mathbb{K}[x]$$

and distribute the **shares**

$$f(x_1), f(x_2), \dots, f(x_n)$$

where  $x_i \in \mathbb{K} - \{0\}$  is a **public** value associated to **player**  $p_i$

Independently, **Blakley** proposed in 1979  
a **geometric** secret sharing scheme

# Properties of Shamir's Secret Sharing Scheme

- 1 It is a **threshold** scheme
- 2 It is **perfect**
- 3 It is **ideal**
- 4 It is **linear**
- 5 It is **multiplicative**

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Every set of  $t$  players **can reconstruct** the secret value  $k = f(0)$  from their shares  $f(x_1), \dots, f(x_t)$  by using **Lagrange interpolation**
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- 2 It is **perfect**  
The shares of any  $t - 1$  players contain **no information** about the value of the secret
- 3 It is **ideal**
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# Properties of Shamir's Secret Sharing Scheme

- 1 It is a **threshold** scheme
- 2 It is **perfect**
- 3 It is **ideal**  
Every share has the same length as the secret:  
all are elements in a finite field  
This is the **best possible** situation
- 4 It is **linear**
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Shares are a linear function of the secret and random values.  
The secret can be recovered by a linear function of the shares.  
Shares for a linear combination of two secrets  
can be obtained from the linear combination of the shares

$$\lambda_1 k_1 + \lambda_2 k_2 = (\lambda_1 f_1 + \lambda_2 f_2)(0) \quad \lambda_1 s_{1i} + \lambda_2 s_{2i} = (\lambda_1 f_1 + \lambda_2 f_2)(x_i)$$

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If  $n \geq 2t - 1$ , shares for the product of two secrets can be obtained from the products of the shares

$$k_1 k_2 = f_1 f_2(0) \quad s_{1i} s_{2i} = f_1 f_2(x_i)$$

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To which extent these properties can be generalized to secret sharing schemes with other **access structures**?

The **access structure**  $\Gamma$  is the family of **qualified subsets**

# Existential Questions & Optimization Problems

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Does there exist a **linear** SSS for every access structure? **YES**

Does there exist an **ideal** SSS for every access structure? **NO**

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Does there exist a **linear** SSS for every access structure? **YES**

Does there exist an **ideal** SSS for every access structure? **NO**

## Problem

*What access structures admit an **ideal** secret sharing scheme?*

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Does there exist a **linear** SSS for every access structure? **YES**

Does there exist an **ideal** SSS for every access structure? **NO**

## Problem

*What access structures admit an **ideal** secret sharing scheme?*

## Problem

*To find the **most efficient** (linear) secret sharing scheme for every access structure*

# Some Interesting Access Structures

Shamir (1979) introduced the **weighted threshold access structures**

Every participant has a **weight**

A subset is qualified if and only if

the weight sum attains certain **threshold**

These access structures are **hierarchical**

The scheme proposed by Shamir is not ideal

Simmons (1988) introduced the

**multilevel** and **compartmented** access structures

Brickell (1989) presented ideal secret sharing schemes for them

P. and Sáez (1998) studied those problems

for the **bipartite access structures**

Subsequently, many other works appeared on

**multipartite secret sharing schemes**

specially on the construction of ideal schemes and

the characterization of ideal access structures

# General Secret Sharing

A **secret sharing scheme** on the set  $P = \{p_1, \dots, p_n\}$  of **participants** is a mapping

$$\begin{aligned}\Pi: E &\rightarrow E_0 \times E_1 \times \dots \times E_n \\ x &\mapsto (\pi_0(x) | \pi_1(x), \dots, \pi_n(x))\end{aligned}$$

together with a probability distribution on  $E$

**A secret sharing scheme is a collection of random variables**

- $\pi_0(x) \in E_0$  is the **secret value**
- $\pi_i(x) \in E_i$  is the **share** for the player  $p_i$

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A **secret sharing scheme** is a collection of random variables such that

- If  $A \subseteq P$  is **qualified**,  $H(E_0 | E_A) = H(E_0 | (E_i)_{p_i \in A}) = 0$
- Otherwise,  $H(E_0 | E_A) = H(E_0)$

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The qualified subsets form the **access structure**  $\Gamma$  of the scheme

If  $p_i$  is a **non-redundant** player, then  $H(E_i) \geq H(E_0)$

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If  $p_i$  is a **non-redundant** player, then  $H(E_i) \geq H(E_0)$

There exists a secret sharing scheme for every access structure, but in general the shares are much larger than the secret



# Complexity of Secret Sharing Schemes

## Problem

To find the *most efficient* secret sharing scheme for every access structure

$\max H(E_i)$ ,  $\sum H(E_i)$ , and  $H(E)$ , compared to  $H(E_0)$ , are used to measure the **complexity** of a secret sharing scheme

## Definition (complexity of a secret sharing scheme)

The **complexity**  $\sigma(\Sigma)$  of a secret sharing scheme  $\Sigma$  is defined as

$$\sigma(\Sigma) = \max_{p_i \in P} \frac{H(E_i)}{H(E_0)} \geq 1$$

# The Big Problem

## Problem

To find the *most efficient* secret sharing scheme for every access structure

## Definition (optimal complexity of an access structure)

The *optimal complexity*  $\sigma(\Gamma)$  of an access structure  $\Gamma$  is the infimum of the complexities of all secret sharing schemes for  $\Gamma$

## Problem

To determine  $\sigma(\Gamma)$  for every  $\Gamma$   
At least, to determine the asymptotic behavior of this parameter

Very little is known about this problem

It has been studied for several particular families of access structures

# Bipartite Access Structures

In this paper, we consider this problem for  
**bipartite access structures**

An access structure is **bipartite** if

$$P = P_1 \cup P_2$$

and participants in the same part play an equivalent role.

**Ideal bipartite access structures**

were characterized by **Padró and Sáez, 1998**

Some bounds on  $\sigma(\Gamma)$  were given in that work

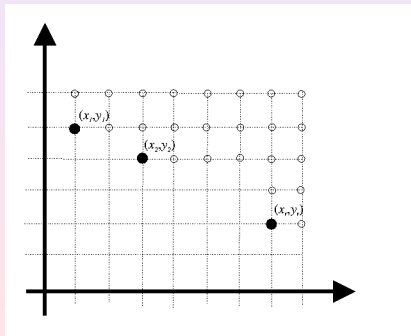
More general results about **ideal multipartite access structures**  
by **Farràs, Martí-Farré and P. 2007**

# Geometric Representation

Let  $\Gamma$  be a **bipartite access structure** on  $P = P_1 \cup P_2$ .  
For every set  $A \subseteq P$ , consider

$$\Pi(A) = (|A \cap P_1|, |A \cap P_2|) \in \mathbb{Z}_+^2$$

The set of points  $\Pi(\Gamma) = \{\Pi(A) : A \in \Gamma\} \subseteq \mathbb{Z}_+^2$  determine  $\Gamma$



Actually, the **minimal points** in  $\Pi(\min \Gamma)$  determine  $\Gamma$

# Upper Bounds from Constructions

Of course, every construction of a secret sharing scheme  $\Sigma$  for  $\Gamma$  provides an upper bound:  $\sigma(\Gamma) \leq \sigma(\Sigma)$

Most of the good construction methods used until now provide **linear secret sharing schemes**

# Upper Bounds from Constructions

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Most of the good construction methods used until now provide **linear secret sharing schemes**

That is, the mapping  $x \mapsto (\pi_0(x) | \pi_1(x), \dots, \pi_n(x))$  is **linear** and  $x \in E$  is chosen with **uniform** probability

## Definition

For an access structure  $\Gamma$ , we define  $\lambda(\Gamma)$  as the infimum of the complexities of all **linear** secret sharing schemes for  $\Gamma$

Obviously,  $\sigma(\Gamma) \leq \lambda(\Gamma)$

If  $\Gamma$  is **bipartite**,

$\sigma(\Gamma) \leq \lambda(\Gamma) \leq \text{number of minimal points} \leq \min\{|P_1|, |P_2|\}$

# How Good Are Linear Secret Sharing Schemes?

For some access structures, the optimal schemes must be non-linear

**Beimel and Weinreb (2005)** proved a strong separation result:

There exist a family of access structures such that

$\sigma(\Gamma_n)$  grows linearly while

$\lambda(\Gamma_n)$  grows superpolynomially

## Problem

*Is  $\sigma(\Gamma) = \lambda(\Gamma)$  for every **bipartite access structure**?*

# Combinatorial Lower Bounds, Polymatroids

Consider  $P = \{p_1, \dots, p_n\}$  and  $Q = P \cup \{p_0\}$

For an arbitrary secret sharing scheme consider,  
for every  $A \subseteq Q$

$$h(A) = \frac{H(E_A)}{H(E_0)}$$



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Then

- 1  $h(\emptyset) = 0$
- 2  $X \subseteq Y \subseteq Q \Rightarrow h(X) \leq h(Y)$
- 3  $h(X \cup Y) + h(X \cap Y) \leq h(X) + h(Y)$
- 4  $h(A \cup \{p_0\}) \in \{h(A), h(A) + 1\}$

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  - 4  $h(A \cup \{p_0\}) \in \{h(A), h(A) + 1\}$
- $\mathcal{S} = (Q, h)$  is a **polymatroid**
  - $p_0$  is an **atomic point of  $\mathcal{S}$**
  - $\Gamma = \Gamma_{p_0}(\mathcal{S}) = \{A \subseteq P : h(A \cup \{p_0\}) = h(A)\}$

Fujishige 1978, Csirmaz 1997

# Lower Bounds from Polymatroids

For a polymatroid  $\mathcal{S} = (Q, h)$ , we define  $\sigma(\mathcal{S}) = \max_{p \in Q} h(\{p\})$

Every polymatroid  $\mathcal{S} = (Q, h)$  with an atomic point  $p_0 \in Q$  defines an access structure on  $P = Q - p_0$

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In this situation, we say that  $\mathcal{S}$  is a  $\Gamma$ -polymatroid

$$\kappa(\Gamma) = \inf\{\sigma(\mathcal{S}) : \Gamma = \Gamma_{p_0}(\mathcal{S})\}$$

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A secret sharing scheme  $\Sigma$  for  $\Gamma$  defines a polymatroid  $\mathcal{S} = \mathcal{S}(\Sigma)$  such that  $\Gamma = \Gamma_{p_0}(\mathcal{S})$  and  $\sigma(\Sigma) = \sigma(\mathcal{S})$

Therefore  $\kappa(\Gamma) \leq \sigma(\mathcal{S}) = \sigma(\Sigma)$

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Therefore  $\kappa(\Gamma) \leq \sigma(\mathcal{S}) = \sigma(\Sigma)$

## Theorem

For every access structure  $\Gamma$

$$\kappa(\Gamma) \leq \sigma(\Gamma) \leq \lambda(\Gamma)$$

# How Good Are Combinatorial Lower Bounds?

## Theorem (Csirmaz 1997)

*There exist a family of access structures with*

$$\sigma(\Gamma_n) \geq \kappa(\Gamma_n) \geq \frac{n}{\log n}$$

This is the best known general lower bound on  $\sigma$

But, on the other hand

## Theorem (Csirmaz 1997)

*For every access structure  $\Gamma$  on  $n$  participants,  $\kappa(\Gamma) \leq n$*

This seems to imply that  $\kappa(\Gamma)$   
must be in general much smaller than  $\sigma(\Gamma)$

Nevertheless no strong separation result  
between these parameters is known

# How Good Are Combinatorial Lower Bounds?

No strong separation result between  $\kappa$  and  $\sigma$  is known

The first examples of access structures with  $\kappa(\Gamma) < \sigma(\Gamma)$  have been found recently by using **non-Shannon information inequalities** (Beimel, Livne, and P. 2008)

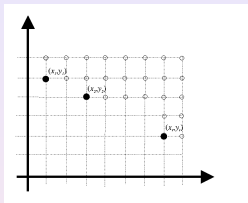
Nevertheless, non-Shannon information inequalities cannot give strong separation results (Beimel and Orlov 2008)

## Problem

Is  $\sigma(\Gamma) = \kappa(\Gamma)$  for every *bipartite access structure*?

# Multipartite Polymatroids

Let  $\Gamma$  be a **bipartite access structure** on  $P = P_1 \cup P_2$ .



$$\kappa(\Gamma) = \inf\{\sigma(\mathcal{S}) : \Gamma = \Gamma_{p_0}(\mathcal{S})\}$$

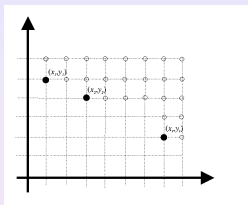
We prove that we can restrict to  $(\{p_0\}, P_1, P_2)$ -partite polymatroids  $\mathcal{S} = (Q, h)$  such that  $h(A)$  depends only on  $|A \cap \{p_0\}|, |A \cap P_1|, |A \cap P_2|$

In addition,  $\kappa(\Gamma)$  is independent from  $|P_i|$   
It depends only on the **minimal points**

We do not know if the same applies to  $\lambda$  or  $\sigma$



# Finding Lower Bounds by Linear Programming



Such a polymatroid  $\mathcal{S} = (Q, h)$  is determined by the values  $h(x_0, x_1, x_2)$  with  $0 \leq x_0 \leq 1$  and  $0 \leq x_i \leq |P_i|$ .

To compute  $\kappa(\Gamma)$  we have to minimize  $\max\{h(0, 1, 0), h(0, 0, 1)\}$  among all **vectors**  $h \in \mathbb{R}^{2N_1 N_2}$  satisfying

- 1  $h(\emptyset) = 0$
- 2  $X \subseteq Y \subseteq Q \Rightarrow h(X) \leq h(Y)$
- 3  $h(X \cup Y) + h(X \cap Y) \leq h(X) + h(Y)$
- 4  $h(A \cup \{p_0\}) = h(A)$  if  $A \in \Gamma$ ,  $h(A \cup \{p_0\}) = h(A) + 1$  otherwise

This can be formulated as a **linear programming problem**

# Some Bounds

By applying these techniques, we obtain

## Theorem

If  $\min \Gamma = \{(x_1, y_1), (x_2, 0)\}$  with  $x_1, x_2, y_1 > 0$ , then

$$\kappa(\Gamma) = \sigma(\Gamma) = \lambda(\Gamma) = \frac{2(x_2 - x_1) - 1}{x_2 - x_1}.$$

In addition, by using **linear programming**, we determined the value of  $\kappa(\Gamma)$  for several access structures with **three minimal points**

For future work,

Determine the values of these parameters for **every** bipartite access structure

Are there gaps between  $\kappa$ ,  $\sigma$ , and  $\lambda$  in the family of the bipartite access structures?

# Duality and Minors

**Dual access structure:**  $\Gamma^* = \{A \subseteq P : P - A \notin \Gamma\}$

The **minors** of access structures are defined by the operations

$$\Gamma \setminus Z = \{A \subseteq P - Z : A \in \Gamma\} \quad \Gamma / Z = \{A \subseteq P - Z : A \cup Z \in \Gamma\}$$

Bipartite access structures are closed by duality and minors

## Theorem

*If  $\Gamma'$  is a minor of  $\Gamma$ , then*

$$\kappa(\Gamma') \leq \kappa(\Gamma) \quad \sigma(\Gamma') \leq \sigma(\Gamma) \quad \lambda(\Gamma') \leq \lambda(\Gamma)$$

## Theorem (Jackson and Martin 1994, Martí-Farré and P. 2007)

*For every access structure  $\Gamma$ ,*

$$\lambda(\Gamma^*) = \lambda(\Gamma) \quad \kappa(\Gamma^*) = \kappa(\Gamma)$$

The relationship between  $\sigma(\Gamma^*)$  and  $\sigma(\Gamma)$  is unknown