

# Joint Linear Complexity of Multisequences Consisting of Linear Recurring Sequences

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# Introduction

- The linear complexity of sequences is one of the important security measures for stream cipher systems.
- Rueppel 1986, 1992
- Ding, Xiao, Shan 1991
- Cusick, Ding, Renvall 1998
- Niederreiter 2003

# Introduction

- High linear complexity to resist an attack by the Berlekamp-Massey algorithm.
- A stream cipher system is completely secure if the keystream is a “truly random” sequence that is uniformly distributed.
- Fundamental research problem:  
Determine the expectation and variance of the linear complexity of random sequences that are uniformly distributed.

# Introduction

- Study of word-based or vectorized stream cipher systems
- Study of joint linear complexity of multisequences
- Research works on the study of expectation and variance and counting function for
- linear complexity of random finite/periodic sequences: Rueppel, Dai, Meidl, Niederreiter, et al
- joint linear complexity of random finite/periodic multisequences: Meidl, Niederreiter, Dai, Xing, Fu, Su, Wang, et al

# Linear Recurring Sequences and Linear Complexity

- A sequence  $\sigma = (s_n)_{n=0}^{\infty}$  of elements of  $\mathbb{F}_q$  is called a *linear recurring sequence* over  $\mathbb{F}_q$  with characteristic polynomial

$$\sum_{i=0}^{\ell} a_i x^i \in \mathbb{F}_q[x]$$

if  $a_{\ell} = 1$  and

$$\sum_{i=0}^{\ell} a_i s_{n+i} = 0 \quad \text{for } n = 0, 1, \dots$$

Here  $\ell$  is an arbitrary nonnegative integer.

# Linear Recurring Sequences and Linear Complexity

- The minimal polynomial of  $\sigma$  is the uniquely determined characteristic polynomial of  $\sigma$  with least degree.
- The linear complexity of  $\sigma$  is the degree of the minimal polynomial of  $\sigma$ .

# Multiple Sequences and Joint Linear Complexity

- $m$ : an arbitrary positive integer.
- $m$ -fold multisequence  $\mathbf{S} = (\sigma_1, \dots, \sigma_m)$  consisting of linear recurring sequences  $\sigma_1, \dots, \sigma_m$  over  $\mathbb{F}_q$ , that is, a linear recurring multisequence  $\mathbf{S}$  over  $\mathbb{F}_q$ .
- Joint minimal polynomial  $P_{\mathbf{S}} \in \mathbb{F}_q[x]$  is defined to be the (uniquely determined) monic polynomial of the least degree such that  $P_{\mathbf{S}}$  is a characteristic polynomial of  $\sigma_i$  for each  $1 \leq i \leq m$ .
- The joint linear complexity  $L(\mathbf{S})$  of  $\mathbf{S}$  is defined to be  $L(\mathbf{S}) = \deg(P_{\mathbf{S}})$ .



# Multiple Sequences and Joint Linear Complexity

- For  $1 \leq i \leq m$ , let

$$\sigma_i = (s_{i,n})_{n=0}^{\infty},$$

and assume that  $\sigma_i$  is not the zero sequence for some  $1 \leq i \leq m$ .

- The joint linear complexity  $L(\mathbf{S})$  is the smallest positive integer  $c$  for which there exist coefficients  $a_1, a_2, \dots, a_c \in \mathbb{F}_q$  such that for each  $1 \leq i \leq m$ , we have

$$s_{i,n} + a_1 s_{i,n-1} + \dots + a_c s_{i,n-c} = 0 \quad \text{for all } n \geq c.$$

# Some Notations

- Given a monic polynomial  $f \in \mathbb{F}_q[x]$ .
- $\mathcal{M}^{(m)}(f)$ : The set of  $m$ -fold multisequences  $\mathbf{S} = (\sigma_1, \dots, \sigma_m)$  such that for each  $1 \leq i \leq m$ ,  $\sigma_i$  is a linear recurring sequence over  $\mathbb{F}_q$  with characteristic polynomial  $f$ .
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$$|\mathcal{M}^{(m)}(f)| = q^{m \deg(f)}.$$

# Some Notations

- The expectation  $E^{(m)}(f)$  and the variance  $\text{Var}^{(m)}(f)$  of the joint linear complexity of random  $m$ -fold multisequences from  $\mathcal{M}^{(m)}(f)$ , which are uniformly distributed over  $\mathcal{M}^{(m)}(f)$ .
- Counting function  $\mathcal{N}^{(m)}(f; t)$ : The number of  $m$ -fold multisequences from  $\mathcal{M}^{(m)}(f)$  with a given joint linear complexity  $t$ .

# Preliminaries

- For a monic polynomial  $f \in \mathbb{F}_q[x]$  with  $\deg(f) \geq 1$ , let

$$C(f) := \{h \in \mathbb{F}_q[x] : \deg(h) < \deg(f)\},$$

$$R^{(m)}(f) := \{(h_1, \dots, h_m) \in C(f)^m : \\ \gcd(h_1, \dots, h_m, f) = 1\},$$

$$\Phi_q^{(m)}(f) := |R^{(m)}(f)|, \text{ and } \Phi_q^{(m)}(1) := 1.$$

- $\Phi_q^{(m)}(f)$  is the number of  $m$ -fold multisequences  $\mathbf{S}$  with the joint minimal polynomial  $f(x)$ .

# Preliminaries

## Lemma

If  $f = r_1^{e_1} r_2^{e_2} \cdots r_k^{e_k}$  is the canonical factorization of  $f$  into monic irreducible polynomials over  $\mathbb{F}_q$ , then

$$\Phi_q^{(m)}(f) = q^{m \deg(f)} \prod_{i=1}^k (1 - q^{-m \deg(r_i)}).$$

# Expectation and Variance

- Monic polynomial  $f \in \mathbb{F}_q[x]$  with  $\deg(f) \geq 1$ .
- Canonical factorization

$$f = r_1^{e_1} r_2^{e_2} \cdots r_k^{e_k}$$

- For  $1 \leq i \leq k$ , let  $\alpha_i = q^{m \deg(r_i)}$ .

# Expectation and Variance

## Theorem

Expectation  $E^{(m)}(f)$  and Variance  $\text{Var}^{(m)}(f)$ :

$$E^{(m)}(f) = \text{deg}(f) - \sum_{i=1}^k \frac{1 - \alpha_i^{-e_i}}{\alpha_i - 1} \text{deg}(r_i),$$

$$\text{Var}^{(m)}(f) = \sum_{i=1}^k \left( \frac{\text{deg}(r_i)}{1 - \alpha_i^{-1}} \right)^2 \\ \times [(2e_i + 1) (\alpha_i^{-e_i-2} - \alpha_i^{-e_i-1}) - \alpha_i^{-2e_i-2} + \alpha_i^{-1}].$$

# Expectation and Variance

## Remark:

- When  $f(x) = x^N - 1 \in \mathbb{F}_q[x]$  and  $N$  is an arbitrary positive integer, This is the case of  $m$ -fold  $N$ -periodic multisequences over  $\mathbb{F}_q$ .
- This theorem yields the corresponding results of Meidl-Niederreiter and Fu-Niederreiter-Su by a simpler method.
- These corresponding results are the general formulas for the expectation and the variance of the joint linear complexity of random  $m$ -fold  $N$ -periodic multisequences over  $\mathbb{F}_q$ .



# Expectation and Variance

Cyclotomic coset:

- Let  $n$  be a positive integer with  $\gcd(n, q) = 1$ .
- For  $j \in \mathbf{Z}_n := \{0, 1, \dots, n-1\}$ , the cyclotomic coset  $C_j$  of  $j$  modulo  $n$  relative to powers of  $q$  is defined as

$$C_j = \{j, j \cdot q, \dots, j \cdot q^{l_j-1}\} \pmod{n},$$

where  $l_j$  is the least positive integer  $l$  satisfying  $j \cdot q^l \equiv j \pmod{n}$ .

# Expectation and Variance

Let  $N = p^v n$  with  $v \geq 0$ ,  $p = \text{char } \mathbb{F}_q$ , and  $\gcd(n, p) = 1$ . Let  $D_1, \dots, D_h$  be the different cyclotomic cosets modulo  $n$  and let  $d_r = |D_r|$ ,  $1 \leq r \leq h$ , be the sizes of these cyclotomic cosets, respectively.

- Meidl-Niederreiter 2003

The expectation  $E_N^{(m)}$  of the joint linear complexity of  $m$  random  $N$ -periodic sequences with terms in  $\mathbb{F}_q$  is given by

$$E_N^{(m)} = N - \sum_{r=1}^h \frac{d_r a_r (1 - a_r^{p^v})}{1 - a_r},$$

where  $a_r = q^{-d_r m}$ .

# Expectation and Variance

- Fu-Niederreiter-Su 2005

The variance  $V_N^{(m)}$  of the joint linear complexity of  $m$  random  $N$ -periodic sequences with terms in  $\mathbb{F}_q$  is given by

$$V_N^{(m)} = \sum_{r=1}^h d_r^2 \cdot \frac{(2p^v + 1)(a_r^{p^v+2} - a_r^{p^v+1}) - a_r^{2p^v+2} + a_r}{(1 - a_r)^2},$$

where  $a_r = q^{-d_r m}$ .

# Expectation and Variance

Some Examples:

- $N = p^v$ ,  $p = \text{char } \mathbb{F}_q$ :

$$E_N^{(m)} = N - \frac{1}{q^m - 1} \left( 1 - \frac{1}{q^{mN}} \right),$$

$$V_N^{(m)} = \frac{(q^m + q^{-Nm})(1 - q^{-Nm})}{(q^m - 1)^2} - \frac{2q^{-Nm}}{q^m - 1} N.$$

# Expectation and Variance

- $N$  is a prime different from  $p$ :

Let  $d$  be the multiplicative order of  $q$  in the prime field  $\mathbb{F}_N$ .

$$E_N^{(m)} = N - \frac{N-1}{q^{dm}} - \frac{1}{q^m},$$

$$V_N^{(m)} = q^{-m} - q^{-2m} + (N-1)d(1 - q^{-dm})q^{-dm}.$$

# Expectation and Variance

- $N = q^k - 1$  and  $k$  is a prime:

$$E_N^{(m)} = N - (q - 1)q^{-m} - (q^k - q)q^{-km},$$

$$V_N^{(m)} = (q - 1)q^{-m}(1 - q^{-m}) + k(q^k - q)q^{-km}(1 - q^{-km}).$$

# Reference Papers

- W. Meidl, H. Niederreiter, On the expected value of the linear complexity and the  $k$ -error linear complexity of periodic sequences, IEEE Trans. Inform. Theory 48 (2002) 2817–2825.
- W. Meidl, H. Niederreiter, The expected value of the joint linear complexity of periodic multisequences, J. Complexity 19 (2003) 61–72.
- F.-W. Fu, H. Niederreiter, M. Su, The expectation and variance of the joint linear complexity of random periodic multisequences, J. Complexity 21 (2005) 804–822.

# Counting Function

## Theorem

Counting function  $\mathcal{N}^{(m)}(f; t)$  where  $t \leq \deg(f)$ :

$$\mathcal{N}^{(m)}(f; t) = \sum_{\substack{d|f \\ \deg(d)=t}} \Phi_q^{(m)}(d),$$

where the summation is over all monic polynomials  $d \in \mathbb{F}_q[x]$  of degree  $t$  and dividing  $f$ .



# Counting Function

- We determine closed-form expressions for  $\mathcal{N}^{(m)}(f; \deg(f))$ ,  $\mathcal{N}^{(m)}(f; \deg(f) - 1)$ , and  $\mathcal{N}^{(m)}(f; \deg(f) - 2)$ .
- We also give tight upper and lower bounds on the counting function  $\mathcal{N}^{(m)}(f; t)$  in general.
- We give concrete examples determining the counting functions in closed form in some special cases.

# Generating Polynomial

- Generating polynomial  $\mathcal{G}^{(m)}(f; z)$  for the distribution of joint linear complexities of  $m$ -fold multisequences from  $\mathcal{M}^{(m)}(f)$ :

$$\mathcal{G}^{(m)}(f; z) := \sum_{t \geq 0} \mathcal{N}^{(m)}(f; t) z^t.$$

- We now determine  $\mathcal{G}^{(m)}(f; z)$  as a product of certain polynomials in  $z$  depending on the canonical factorization of  $f$  into monic irreducibles over  $\mathbb{F}_q$ .

# Generating Polynomial

## Theorem

If  $f = f_1 f_2$ , where  $f_1, f_2 \in \mathbb{F}_q[x]$  are monic polynomials with  $\deg(f_1), \deg(f_2) \geq 1$ , and  $\gcd(f_1, f_2) = 1$ , then

$$\mathcal{G}^{(m)}(f; z) = \mathcal{G}^{(m)}(f_1; z) \mathcal{G}^{(m)}(f_2; z).$$

# Generating Polynomial

## Theorem

If  $f = r_1^{e_1} r_2^{e_2} \cdots r_k^{e_k}$  is the canonical factorization of  $f$  into monic irreducibles over  $\mathbb{F}_q$ , then

$$\mathcal{G}^{(m)}(f; z) = \prod_{j=1}^k \left( 1 + (1 - \alpha_j^{-1}) \frac{(\alpha_j z^{\deg(r_j)})^{e_j+1} - \alpha_j z^{\deg(r_j)}}{\alpha_j z^{\deg(r_j)} - 1} \right),$$

where  $\alpha_j = q^{m \deg(r_j)}$  for  $1 \leq j \leq k$ .

# Generating Polynomial

- For  $N \geq 1$ , recall that the set of  $m$ -fold  $N$ -periodic multisequences over  $\mathbb{F}_q$  is the same as the set  $\mathcal{M}^{(m)}(f)$ , where

$$f(x) = x^N - 1 \in \mathbb{F}_q[x].$$

# Generating Polynomial

- $n \geq 1$  is an integer with  $\gcd(n, q) = 1$ .
- Euler totient function  $\phi(\ell)$ : The number of nonnegative integers less than  $\ell$  and coprime to  $\ell$ .
- For each positive integer  $d$  dividing  $n$ , let  $H_q(d)$  be the multiplicative order of  $q$  modulo  $d$ , i.e., the least positive integer  $h$  such that  $q^h \equiv 1 \pmod{d}$ .

# Generating Polynomial

## Theorem

Let  $m, N \geq 1$  be integers and  $p$  be the characteristic of  $\mathbb{F}_q$ . Let  $n \geq 1$  and  $\nu \geq 0$  be the integers such that  $N = p^\nu n$  and  $\gcd(n, p) = 1$ . Assume that  $f(x) = x^N - 1 \in \mathbb{F}_q[x]$ . Then we have

$$\mathcal{G}^{(m)}(f; z) = \prod_{d|n} \left( 1 + (1 - q^{-mH_q(d)}) \frac{(q^{mH_q(d)} z^{H_q(d)})^{p^\nu + 1} - q^{mH_q(d)} z^{H_q(d)}}{q^{mH_q(d)} z^{H_q(d)} - 1} \right)^{\phi(d)/H_q(d)}$$

# Generating Polynomial

## Remark:

- The counting function  $\mathcal{N}^{(m)}(f; t)$  is the coefficient of the term  $z^t$  of the generating polynomial  $\mathcal{G}^{(m)}(f; z)$ .
- These two theorems determine  $\mathcal{G}^{(m)}(f; z)$  as a product of certain polynomials in  $z$ .
- However, even for  $f(x) = x^N - 1$ , i.e., the periodic case, it is difficult in general to obtain the coefficient of the term  $z^t$  from the product in the above theorem.



# Publication

Fang-Wei Fu, H. Niederreiter, and F. Özbudak,  
Joint linear complexity of multisequences consisting of linear  
recurring sequences, *Cryptography and Communications*, vol.1, no.1,  
pp. 3-29, 2009.

# General Case

- Let  $s$  be an arbitrary positive integer.
- Let  $m_1, m_2, \dots, m_s$  be further arbitrarily chosen positive integers.
- Let  $f_1, f_2, \dots, f_s \in \mathbb{F}_q[x]$  be monic polynomials of positive degree.

# General Case

- Let  $\mathcal{M}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s)$  be the set of  $(m_1 + m_2 + \dots + m_s)$ -fold multisequences

$$\mathbf{S} = \left( \begin{array}{c} \sigma_{1,1}, \sigma_{1,2}, \dots, \sigma_{1,m_1}, \\ \sigma_{2,1}, \sigma_{2,2}, \dots, \sigma_{2,m_2}, \\ \dots \dots \dots \\ \sigma_{s,1}, \sigma_{s,2}, \dots, \sigma_{s,m_s} \end{array} \right)$$

such that for each  $1 \leq i \leq s$  and  $1 \leq j \leq m_i$ ,  $\sigma_{i,j}$  is a linear recurring sequence over  $\mathbb{F}_q$  with characteristic polynomial  $f_i$ .

# General Case

- Expectation  $E^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s)$  and Variance  $\text{Var}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s)$  of the joint linear complexity of random  $(m_1 + m_2 + \dots + m_s)$ -fold multisequences from  $\mathcal{M}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s)$ .

# General Case

- Counting function  $\mathcal{N}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s; t)$  of  $(m_1 + m_2 + \dots + m_s)$ -fold multisequences from  $\mathcal{M}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s)$  with a given joint linear complexity  $t$ .

# General Case

- Generating polynomial  $\mathcal{G}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s; z)$ :

$$\begin{aligned} & \mathcal{G}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s; z) \\ & := \sum_{t \geq 0} \mathcal{N}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s; t) z^t. \end{aligned}$$

# Publication

Fang-Wei Fu, H. Niederreiter, and F. Özbudak,  
Joint linear complexity of arbitrary multisequences consisting of linear  
recurring sequences, *Finite Fields and Their Applications*, vol.15,  
no.4, pp.475-496, 2009.

$f_1, f_2, \dots, f_s$  are pairwise coprime

**Special case:**  $f_1, f_2, \dots, f_s$  are pairwise coprime.

## Theorem

$$E^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s) = \sum_{i=1}^s E^{(m_i)}(f_i),$$

$$\text{Var}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s) = \sum_{i=1}^s \text{Var}^{(m_i)}(f_i).$$

*Here  $E^{(m_i)}(f_i)$  and  $\text{Var}^{(m_i)}(f_i)$  can be computed using previous theorems.*



$f_1, f_2, \dots, f_s$  are pairwise coprime

## Theorem

*Counting function*

$$\mathcal{N}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s; t) = \sum_{i_1, i_2, \dots, i_s} \mathcal{N}^{(m_1)}(f_1; i_1) \mathcal{N}^{(m_2)}(f_2; i_2) \cdots \mathcal{N}^{(m_s)}(f_s; i_s),$$

where the summation is over all nonnegative integers  $i_1, i_2, \dots, i_s$  with  $i_1 + i_2 + \cdots + i_s = t$ .

$f_1, f_2, \dots, f_s$  are pairwise coprime

## Theorem

*Generating polynomial*

$$\mathcal{G}^{(m_1, m_2, \dots, m_s)}(f_1, f_2, \dots, f_s; z) = \prod_{i=1}^s \mathcal{G}^{(m_i)}(f_i; z).$$

$f_1, f_2, \dots, f_s$  are pairwise coprime

If  $m_1 = m_2 = \dots = m_s$ , then we can completely reduce the consideration to the case  $s = 1$ .

### Corollary

Let  $f := f_1 f_2 \cdots f_s \in \mathbb{F}_q[x]$ . Then we have

$$\mathcal{N}^{(m, m, \dots, m)}(f_1, f_2, \dots, f_s; t) = \mathcal{N}^{(m)}(f; t).$$

Thank you for your attention!